

Numerical algorithms for the reconstruction of space-dependent sources in thermoelasticity

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Outline

- 1 Inverse spatial source problems: background
- 2 Reconstruction methods
 - Landweber method
 - Tikhonov minimisation
 - Sobolev gradient method
- 3 Inverse spatial source problems in thermoelasticity

Inverse spatial source problems: Heat equation

- Heat problem on a Lipschitz domain $\Omega \subset \mathbb{R}^d$, with final time $T > 0$
- Separated-variable heat source: $h \in L^2(0, T)$ and $f \in L^2(\Omega)$

Problem formulation:

$$\bullet \quad \begin{cases} \partial_t u - \kappa \Delta u = h(t)f(x) & \text{in } Q_T \\ u(x, t) = 0 & x \in \Sigma_T \\ u(x, 0) = u_0(x) & x \in \Omega \end{cases}$$

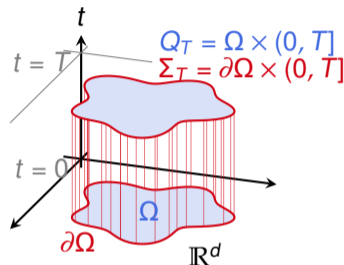
DP: Given the source hf , determine $u_T(x) := u(x, T)$

- If $\kappa > 0$, $h \in L^2(0, T)$, $f \in L^2(\Omega)$ and $u_0 \in H_0^1(\Omega)$, then:
 - A unique weak solution exists:

$$u \in C([0, T], L^2(\Omega)) \cap L^2((0, T), H_0^1(\Omega)), \quad \partial_t u \in L^2((0, T), L^2(\Omega))$$

- The final state $u(\cdot, T) \in L^2(\Omega)$ is well-defined
- The measurement operator $M_T : L^2(\Omega) \rightarrow L^2(\Omega)$ is well-defined:

$$f \xrightarrow{M_T} u_T$$



Inverse spatial source problems: Heat equation

- Measurement operator M_T :

$$L^2(\Omega) \ni f \xrightarrow{M_T} u_T \in L^2(\Omega)$$

IP: Given u_T , determine f such that $M_T(f) = u_T$

- If $u_0 \in H_0^1(\Omega)$, one can show that for the solution u to the DP:

$$\int_0^T \|\partial_t u(\cdot, t)\|_{L^2(\Omega)}^2 dt + \max_{t \in [0, T]} \|\nabla u(\cdot, t)\|_{L^2(\Omega)}^2 \leq C \|f\|_{L^2(\Omega)}^2 \quad (\star_{EE})$$

for some constant $C = C(T, \kappa, \|h\|_{L^2(0, T)}, \|u_0\|_{H_0^1(\Omega)}) > 0$

- M_T is **linear** if $u_0 = 0$ (achieved by linear superposition)
- Consequences of (\star_{EE}) :
 - ▶ M_T is **bounded**
 - ▶ $M_T(f) \in H_0^1(\Omega) \iff L^2(\Omega)$
 - ▶ So M_T is **compact**
 - ▶ **✓ Well-posed DP, ✗ Ill-posed IP**

References: [Cannon, 1968], [Rundell, 1980], [Prilepko and Solov'ev, 1987], [Solov'ev, 1989], [Isakov, 1990], [Johansson and Lesnic, 2007], [Erdem et al., 2013], [Slodička and Johansson, 2016], [Slodička, 2020]

Inverse spatial source problems: Heat equation – Uniqueness

IP: Given $u_T = u(\cdot, T)$, determine f such that $M_T(f) = u_T$

- **Uniqueness:** consider the **homogeneous problem:**

$$\begin{cases} \partial_t u - \kappa \Delta u = hf & \text{in } Q_T \\ u(x, t) = 0 & \text{on } \Sigma_T \\ u(x, 0) = 0 = u_T(x) & \text{in } \Omega \end{cases}$$

- (\cdot, \cdot) denotes inner product in $L^2(\Omega)$ or $\mathbf{L}^2(\Omega)$
- Corresponding **variational form** (for a.a. $t \in (0, T)$):

$$(\partial_t u(t), \varphi) + \kappa (\nabla u(t), \nabla \varphi) = h(t) (f, \varphi), \quad \forall \varphi \in H_0^1(\Omega) \quad (\star_{\text{VFDP}})$$

- Observe that

$$\int_0^T (f, \partial_t u(\cdot, t)) dt = (f, u_T - u_0) = 0$$

Inverse spatial source problems: Uniqueness – continued

- Suppose $h \in C^1([0, T])$ with $h > 0$ and $h' \geq 0$
- Choose $\varphi = \partial_t u(\cdot, t) \in H_0^1(\Omega)$ in (\star_{VFDP}) and rewrite:

$$\underbrace{\int_0^T \frac{1}{h(t)} \|\partial_t u(\cdot, t)\|^2 dt}_{\geq 0} + \frac{\kappa}{2} \int_0^T \frac{1}{h(t)} \partial_t \|\nabla u(\cdot, t)\|^2 dt = 0$$

- Apply partial integration on the second term:

$$\int_0^T \frac{1}{h(t)} \partial_t \|\nabla u(\cdot, t)\|^2 dt = \left[\frac{1}{h(t)} \|\nabla u(\cdot, t)\|^2 \right]_{t=0}^{t=T} + \int_0^T \frac{h'(t)}{h(t)^2} \|\nabla u(\cdot, t)\|^2 dt \geq 0$$

- Hence, $u \equiv 0$ a.e. in Q_T , and therefore $f \equiv 0$ a.e. in $\Omega \rightsquigarrow$ **solution to IP is unique**
- See [Isakov, 1990, Slodička and Johansson, 2016]

Remark: Also uniqueness for $\{h < 0, h' \leq 0\}$ or $\{h \neq 0, (\frac{h'}{h})' \leq 0\}$ for $h \in C^2([0, T])$

IP requires higher regularity compared to DP!

Inverse Spatial Source Problems: Remarks

Parabolic equation:

- ✓ If $h > 0$, $h' \geq 0$ or $h < 0$, $h' \leq 0$:
 - ▶ Uniqueness holds from final data u_T
 - ▶ Also from **time-averaged data**:

$$\Psi_T(\cdot) := \int_0^T u(\cdot, t) dt$$

Wave equation:

- Equation: $\partial_{tt}u - c^2\Delta u = h(t)f(x)$
- ✓ [Isakov, 1990, Ch. 7]: Uniqueness from u_T if:

$$h(t) \geq 0 \text{ and } h'(t) \geq 0 \quad \forall t \in [0, T]$$

- ✗ No uniqueness if $h \equiv 1$
 - ▶ But uniqueness holds in presence of damping
- ✓ Uniqueness from Ψ_T if $h \neq 0$

• Next: Reconstruction methods v



H.W. Engl, M. Hanke, A. Neubauer Regularization of Inverse Problems *Mathematics and its Applications*. 1996

Reconstruction of f – Landweber method

- **Landweber scheme** to reconstruct f from u_T :

- ▶ choose $0 < \alpha < \|M_T\|_{\mathcal{L}(L^2(\Omega), L^2(\Omega))}^{-2}$
- ▶ guess $f_0 \in L^2(\Omega)$
- ▶ iterate

$$f_k = f_{k-1} - \alpha M_T (M_T(f_{k-1}) - u_T), \quad k = 1, 2, \dots$$

- Since $f_k - f = (\mathbb{I} - \alpha M_T^2)(f_{k-1} - f)$, convergence of $f_k \rightarrow f$ in $L^2(\Omega)$ follows
- Estimate (\star_{EE}) implies $u_k \rightarrow u$ in $C([0, T], L^2(\Omega))$
- For noisy data: apply **Morozov's discrepancy principle** as stopping criterion

✓
only forward solver
'easy' to implement

✗
slow
 $f_k|_{\partial\Omega} \equiv f_0|_{\partial\Omega}$ for all k
Choice of α ?

Reconstruction of f – Tikhonov minimisation

- **Tikhonov** functional $\mathcal{J}: L^2(\Omega) \rightarrow \mathbb{R}$ quantifies the misfit:

$$\mathcal{J}(f) = \frac{1}{2} \|M_T(f) - u_T\|_{L^2(\Omega)}^2$$

- **Goal:** find f such that the model output $M_T(f)$ fits the data u_T :

$$\operatorname{argmin}_{f \in L^2(\Omega)} \mathcal{J}(f)$$

- **How to find this minimiser?**
 - Compute Gâteaux derivative $\mathcal{J}'(f; \tilde{f})$
 - Use an adjoint PDE to express the gradient $\nabla \mathcal{J}[f]$

Reconstruction of f – Tikhonov minimisation

Directional derivative of the forward map $f \mapsto u$

- Let $u(\cdot, \cdot; f)$ be the solution to DP with source term f
- Consider the **directional derivative** δu in direction $\tilde{f} \in \mathcal{H} \subseteq L^2(\Omega)$:

$$\delta u(\cdot, \cdot; f, \tilde{f}) = \lim_{\varepsilon \rightarrow 0} \frac{u(\cdot, \cdot; f + \varepsilon \tilde{f}) - u(\cdot, \cdot; f)}{\varepsilon}$$

- Then δu satisfies the **sensitivity problem**:

$$\begin{cases} \partial_t(\delta u) - \kappa \Delta(\delta u) = h(t) \tilde{f} & \text{in } Q_T \\ \delta u = 0 & \text{on } \Sigma_T \\ \delta u(x, 0) = 0 & \text{in } \Omega \end{cases}$$

- Variational formulation (for a.a. $t \in (0, T)$):

$$(\partial_t(\delta u)(t), \varphi) + \kappa (\nabla(\delta u)(t), \nabla \varphi) = h(t) (\tilde{f}, \varphi), \quad \forall \varphi \in H_0^1(\Omega) \quad (\star \text{VFSP})$$

- **Key observation**:

$$(\delta u)(T) = M_T(\tilde{f})$$

Reconstruction of f – Tikhonov minimisation

Gateaux derivative of \mathcal{J} and gradient in $L^2(\Omega)$

- Compute the **Gateaux derivative** of \mathcal{J} at f in the direction \tilde{f} :

$$\mathcal{J}'(f; \tilde{f}) = \lim_{\varepsilon \rightarrow 0} \frac{1}{\varepsilon} [\mathcal{J}(f + \varepsilon \tilde{f}) - \mathcal{J}(f)] = \dots = ((\delta u)(T), u(T; f) - u_T) = (M_T(\tilde{f}), M_T(f) - u_T)$$

- The map $\mathcal{J}'(f; \cdot): L^2(\Omega) \rightarrow \mathbb{R}$ is a continuous linear functional
- By the **Riesz representation theorem**, there exists a unique element $\nabla \mathcal{J}[f] \in L^2(\Omega)$ such that

$$\mathcal{J}'(f; \tilde{f}) = (\nabla \mathcal{J}[f], \tilde{f}), \quad \forall \tilde{f} \in L^2(\Omega)$$

- **Which element?** \Rightarrow Use an “adjoint problem” to characterise $\nabla \mathcal{J}[f]$!

Reconstruction of f – Tikhonov minimisation

Adjoint problem and gradient of \mathcal{J}

- Take $\varphi = u^* \in H_0^1(\Omega)$ (i.e. $u^*|_{\partial\Omega} = 0$) in sensitivity problem (\star_{VFSP})
- Apply partial integration (in time) and Green's theorem (in space)
- As $(\delta u)(0) = 0$ and $(\delta u)|_{\partial\Omega} = 0$:

$$\int_0^T \int_{\Omega} \delta u \cdot [-\partial_t u^* - \kappa \Delta u^*] dx dt + \int_{\Omega} u^*(\cdot, T) \cdot M_T(\tilde{f}) dx = \int_{\Omega} \tilde{f} \cdot \left[\int_0^T h u^* dt \right] dx$$

- Hence

$$(\nabla \mathcal{J}[f], \tilde{f}) = (M_T(\tilde{f}), M_T(f) - u_T) = \left(\int_0^T h(t) u^*(t) dt, \tilde{f} \right), \quad \boxed{\nabla \mathcal{J}[f] = \int_0^T h(t) u^*(t) dt \in L^2(\Omega)}$$

where u^* solve the **adjoint problem**

$$\begin{cases} -\partial_t u^* - \kappa \Delta u^* = 0 & \text{in } Q_T \\ u^*(x, t) = 0 & x \in \Sigma_T \\ u^*(x, T) = M_T(f) - u_T & x \in \Omega \end{cases}$$

Remark: Expression for gradient of \mathcal{J} is not unique: taking $\varphi = \partial_t u^*$ leads to

$$\nabla \mathcal{J}[f] = \int_0^T h(t) \partial_t u^*(t) dt \text{ with}$$

$$\begin{cases} -\partial_t u^* - \kappa \Delta u^* = u_T - M_T(f) & \text{in } Q_T \\ u^*(x, t) = 0 & x \in \Sigma_T \\ u^*(x, T) = 0 & x \in \Omega \end{cases}$$

- [Johansson and Lesnic, 2007]: \mathcal{J} is strictly convex \rightsquigarrow **unique minimiser**

Reconstruction of f – Tikhonov minimisation

- Use the (standard) **steepest descent algorithm** to minimise \mathcal{J} :

- Initialise with a guess $f_0 \in L^2(\Omega)$ and compute $\nabla \mathcal{J}[f_0]$
- Update iteratively via

$$\begin{cases} f_n = f_{n-1} - \tau_n \nabla \mathcal{J}[f_{n-1}] \\ \tau_n = \operatorname{argmin}_{\tau > 0} \mathcal{J}(f_{n-1} - \tau \nabla \mathcal{J}[f_{n-1}]) \end{cases}$$

- Optimal stepsize $\tau_n > 0$ from a 1D minimisation problem:

$$\tau_n = \frac{(M_T(f_{n-1}) - u_T, M_T(\nabla \mathcal{J}[f_{n-1}]))}{\|M_T(\nabla \mathcal{J}[f_{n-1}])\|^2}$$

- Combined with stopping criterion: $J(f_{n+1}) > J(f_n)$

✓ (very) quick forward & adjoint solver	✗ $f_k _{\partial\Omega} \equiv f_0 _{\partial\Omega}$ for all k
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- Alternative: **conjugate gradient method**

Reconstruction of f – Sobolev Gradient Method

- **Motivation:** How can we improve updates near the boundary?
- **Idea:** Add a post-processing step to compute a smoother gradient
- Given a guess $f_0 \in L^2(\Omega)$, iterate as follows:
 - ▶ Compute the standard $L^2(\Omega)$ -gradient $\nabla \mathcal{J}[f_{n-1}]$ from the adjoint problem
 - ▶ Compute the **Sobolev gradient** $\nabla_S \mathcal{J}[f_{n-1}]$ as the weak solution \mathcal{K} to:

$$\begin{cases} -\nabla \cdot (r_1 \nabla \mathcal{K}) + r_0 \mathcal{K} = \nabla \mathcal{J}[f_{n-1}] & \text{in } \Omega \\ \nabla \mathcal{K} \cdot \mathbf{n} = 0 & \text{on } \partial\Omega \end{cases}$$

with weights $r_0, r_1 : \Omega \rightarrow \mathbb{R}$ satisfying: $0 < \underline{r}_i \leq r_i(x) \leq \bar{r}_i, i = 0, 1$

- ▶ Update f_n :

$$\begin{cases} f_n = f_{n-1} - \tau_n \nabla_S \mathcal{J}[f_{n-1}] \\ \tau_n = \operatorname{argmin}_{\tau > 0} \mathcal{J}(f_{n-1} - \tau \nabla_S \mathcal{J}[f_{n-1}]) \end{cases}$$

↪ This enables updates *at the boundary* of Ω !

✓
(very) quick
updates at the boundary

✗
Choice of r_0, r_1 ?
Requires solving additional PDE

Identifiability of space-dependent sources in thermoelasticity

- System of type-III

$$\left\{ \begin{array}{ll} \rho \partial_{tt} \mathbf{u} - \mu \Delta \mathbf{u} - (\lambda + \mu) \nabla (\nabla \cdot \mathbf{u}) + \gamma \nabla \theta = \mathbf{g}_u(t) \mathbf{f}_u(\mathbf{x}) & \text{in } Q_T \\ \rho C_s \partial_t \theta - \kappa \Delta \theta - \int_0^t k(t-s) \Delta \theta(s) ds + T_0 \gamma \nabla \cdot \partial_t \mathbf{u} = g_\theta(t) f_\theta(\mathbf{x}) & \text{in } Q_T \\ \mathbf{u}(\mathbf{x}, t) = \mathbf{0}, \theta(\mathbf{x}, t) = 0 & \text{in } \Sigma_T \\ \mathbf{u}(\mathbf{x}, 0) = \bar{\mathbf{u}}_0(\mathbf{x}), \partial_t \mathbf{u}(\mathbf{x}, 0) = \bar{\mathbf{u}}_1(\mathbf{x}), \theta(\mathbf{x}, 0) = \bar{\theta}_0(\mathbf{x}) & \text{in } \Omega \end{array} \right.$$

ISP	unknown	measurement	data	conditions
ISP1.1	\mathbf{f}_u	ξ_T	\mathbf{g}_u, \mathbf{r}	$\mathbf{g}_u \in C_1, (C:\text{PDE}@t=0)$
ISP1.2	\mathbf{f}_u	χ_T	\mathbf{g}_u, \mathbf{r}	$\mathbf{g}_u \in C_0, (C)$
ISP2	f_θ	ψ_T	$\mathbf{g}_\theta, \mathbf{s}$	$\mathbf{g}_\theta \in C_{1,*}, (C)$

- No damping term needed

$$\begin{aligned} \xi_T &= \mathbf{u}(\cdot, T) & \psi_T &= \int_0^T \theta(\cdot, t) dt \\ \chi_T &= \int_0^T \mathbf{u}(\cdot, t) dt \end{aligned}$$

$$C_0 = \{g \in C([0, T]) \mid 0 \notin g([0, T])\}$$

$$C_1 = \{g \in C^1([0, T]) \mid \partial_t(h(t)^2) > 0, \forall t \in [0, T]\}$$

$$C_{1,*} = \{g \in C^1([0, T]) \cap C_0 \mid \partial_t(h(t)^2) \geq 0, \forall t \in [0, T]\}$$

- Uniqueness for all ISPs



F. Maes, K. Van Bockstal Uniqueness for inverse source problems of determining a space-dependent source in thermoelastic systems. *J. Inverse Ill-Posed Probl.* **30**(6), 845–856 (2022)

Reconstruction for inverse source problems in thermoelasticity

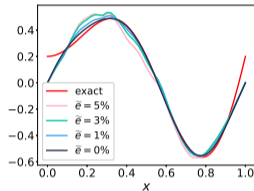
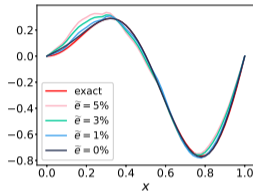
- **Landweber method** for **ISP1.2** with $N_T: \mathbf{L}^2(\Omega) \rightarrow \mathbf{L}^2(\Omega): \mathbf{f} \mapsto \int_0^T \mathbf{u}(\cdot, t; \mathbf{f}) dt$

- ▶ $\mathbf{f}_k = \mathbf{f}_{k-1} - \alpha N_T(N_T(\mathbf{f}_{k-1}) - \mathbf{X}_T)$,
with $0 < \alpha < \|N_T\|_{\mathcal{L}(\mathbf{L}^2(\Omega), \mathbf{L}^2(\Omega))}^{-2}$
- ✓ $\mathbf{f}_k \rightarrow \mathbf{f}$ in $\mathbf{L}^2(\Omega)$ for any $\mathbf{f}_0 \in \mathbf{L}^2(\Omega)$
- ✓ only forward solver needed
- ✗ slow, zero update at the boundary, α

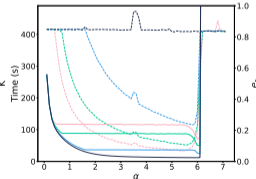
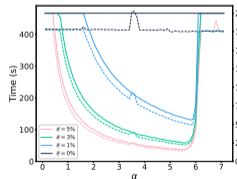


The finite element library DOLFINx v0.8.0 [Logg and Wells, 2010, Logg et al., 2012b] from the FEniCS project [Logg et al., 2012a] is used

- ▶ **Processor:** 12th Gen Intel(R) Core(TM) i7-1270P @ 2.20 GHz with 32 GB RAM on Windows 11 Pro with Ubuntu (WSL) v22.04.4 LTS
- ▶ Max. number of iterations: 200



$$f(x) = x \sin(2\pi x), \alpha = 6 \quad f(x) = x \sin(2\pi x) + 0.2, \alpha = 6.1$$



K : number of iterations; e_r : relative error (solid lines)



F. Maes, K. Van Bockstal Numerical algorithms for the reconstruction of space-dependent sources in thermoelasticity. *Math.*

Reconstruction for inverse source problems in thermoelasticity

- **Tikhonov minimisation** for **ISP1.2**

$$\mathcal{I}_\beta(\mathbf{f}) = \frac{1}{2} \|\mathbf{N}_T(\mathbf{f}) - \mathbf{X}_T\|_{L^2(\Omega)}^2 + \frac{\beta}{2} \|\mathbf{f}\|_{L^2(\Omega)}^2, \beta \geq 0$$

$$\nabla \mathcal{I}_\beta[\mathbf{f}] = \int_0^T h(t) \mathbf{u}^*(\cdot, t; \mathbf{f}) dt + \beta \mathbf{f}$$

$$\left\{ \begin{array}{l} \rho \partial_{tt} \mathbf{u}^* - \mu \Delta \mathbf{u}^* - (\lambda + \mu) \nabla(\nabla \cdot \mathbf{u}^*) + \gamma T_0 \nabla \partial_t \theta^* = \mathbf{N}_T(\mathbf{f}) - \mathbf{X}_T \quad \text{in } Q_T \\ -\rho C_s \partial_t \theta^* - \kappa \Delta \theta^* - \int_t^T k(t-s) \Delta \theta^*(s) ds = 0 \quad \text{in } Q_T \\ -\gamma \nabla \cdot \mathbf{u}^* = 0 \quad \text{in } Q_T \\ \mathbf{u}^* = \mathbf{0}, \theta^* = 0 \quad \text{in } \Sigma_T \\ \mathbf{u}^*(\cdot, T) = \mathbf{0}, \partial_t \mathbf{u}^*(\cdot, T) = \mathbf{0} \quad \text{in } \Omega \\ \theta^*(\cdot, T) = 0 \quad \text{in } \Omega \end{array} \right.$$

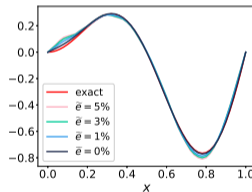
where \mathbf{u}^* is solution to **adjoint problem**

- **Steepest descent**

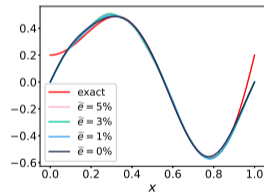
$$\left\{ \begin{array}{l} \mathbf{f}_n = \mathbf{f}_{n-1} - \tau_n \nabla \mathcal{I}_\beta[\mathbf{f}_{n-1}] \\ \tau_n = \operatorname{argmin}_{\tau > 0} \mathcal{I}_\beta(\mathbf{f}_{n-1} - \tau \nabla \mathcal{I}_\beta[\mathbf{f}_{n-1}]) \end{array} \right.$$

(Max. number of iterations: 200)

- ✓ fast (CGM: faster)
- ✓ forward and adjoint solver
- ✗ zero update at the boundary, β



$$f(x) = x \sin(2\pi x), \beta = 0$$



$$f(x) = x \sin(2\pi x) + 0.2, \beta = 0$$



F. Maes, K. Van Bockstal, Numerical algorithms for the reconstruction of space-dependent sources in thermoelasticity. *Math.*

Reconstruction for inverse source problems in thermoelasticity

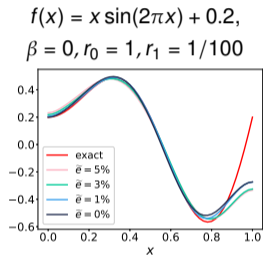
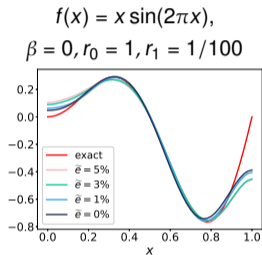
• Sobolev gradient method

- ▶ Update with $\nabla_S \mathcal{I}_\beta \in \mathbf{H}^1(\Omega)$ as (weak) solution to

$$\begin{cases} -\nabla \cdot (r_1 \nabla \mathcal{K}) + r_0 \mathcal{K} = \nabla \mathcal{I}_\beta & \text{in } \Omega \\ \nabla \mathcal{K} \mathbf{n} = \mathbf{0} & \text{on } \partial\Omega \end{cases}$$

$$\begin{cases} \mathbf{f}_n = \mathbf{f}_{n-1} - \tau_n \nabla_S \mathcal{I}_\beta[\mathbf{f}_{n-1}] \\ \tau_n = \operatorname{argmin}_{\tau > 0} \mathcal{I}_\beta(\mathbf{f}_{n-1} - \tau \nabla_S \mathcal{I}_\beta[\mathbf{f}_{n-1}]) \end{cases}$$

- ✓ fast (CGM: faster)
- ✓ forward, adjoint and elliptic solver
- ✓ updates at the boundary
- ✗ Choice of r_1, r_0, β



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Future research directions

- **Open problem:** Reconstruction of a spatially varying heat source from final-time temperature data
- Further development and analysis of the **Sobolev gradient method**
- Inverse problems with **incomplete boundary data** for dynamic thermoelastic systems

Thank you for your attention!

Questions and comments are very welcome.

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