

# Better-Than-2 Approximations for Forest Augmentation and Weighted Tree Augmentation

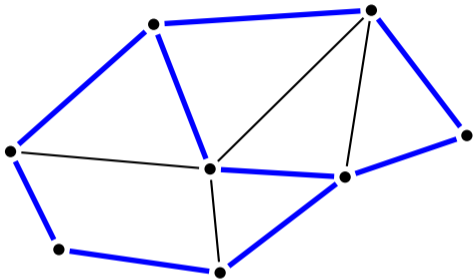
Vera Traub

ETH Zürich

joint work with Fabrizio Grandoni, Afrouz Jabal Ameli, and Rico Zenklusen



## Minimum weight 2-edge-connected spanning subgraphs

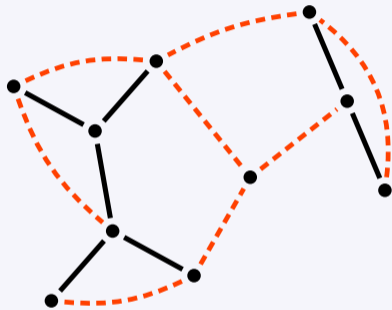


### Min-Weight 2-ECSS

**Instance:** graph  $G = (V, E)$ , weights  $w : E \rightarrow \mathbb{R}_{\geq 0}$

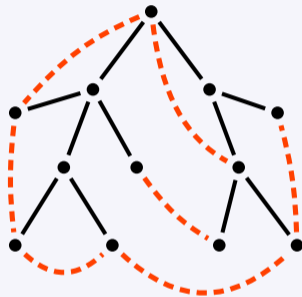
**Task:** Find a min-weight 2-edge-connected spanning subgraph.

## Forest Augmentation

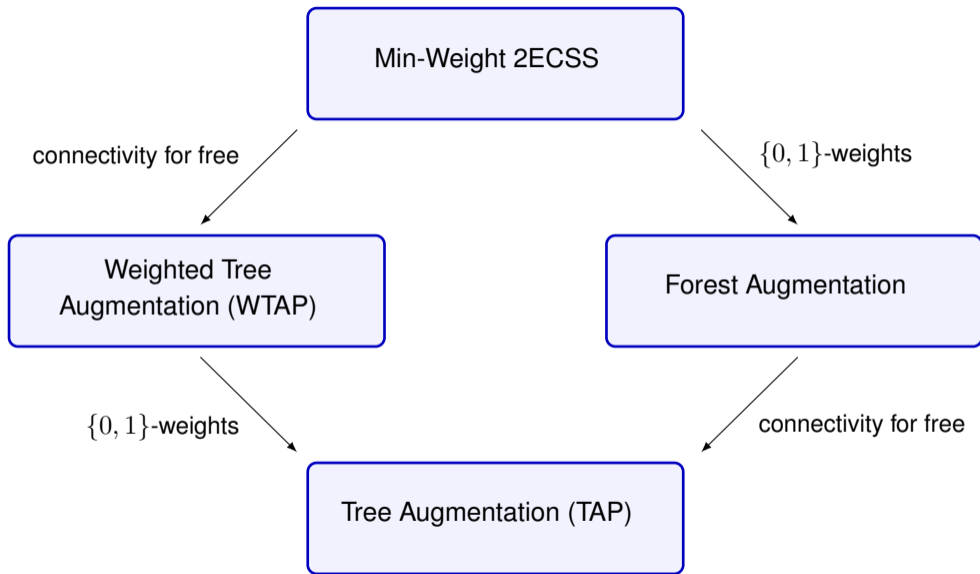


Links have weight/cost 1.  
Forest edges have cost 0.

## Weighted Tree Augmentation



Links have positive weight/cost.  
Tree edges have cost 0.



## Hardness and classical approximation algorithms

- ▶ Kortsarz, Krauthgamer, and Lee [2004]:

TAP is APX-hard even on trees of diameter 5.

- ▶ Classical techniques, e.g. [primal-dual algorithms](#), [iterative rounding](#), provide a [2-approximation](#) for a wide class of network design problems, including Min-Weight 2ECSS.

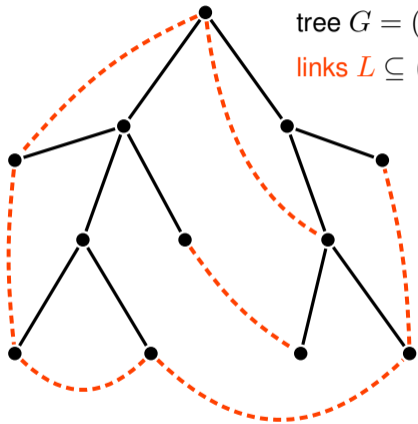
Can we get better-than-2 approximations?

# Weighted Tree Augmentation

## Weighted Tree Augmentation (WTAP)

tree  $G = (V, E)$

links  $L \subseteq \binom{V}{2}$  with weights  $w : L \rightarrow \mathbb{R}_{>0}$



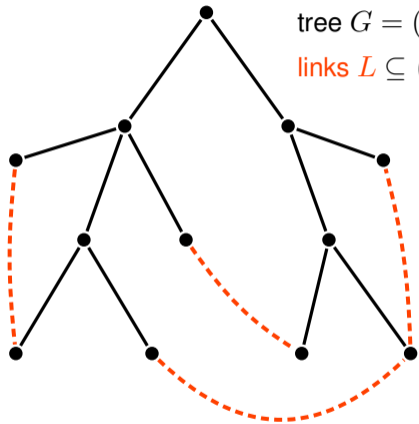
**WTAP**

Find a min weight set  $F \subseteq L$  of links s.t.  
 $G$  becomes 2-edge-connected when adding  $F$ .

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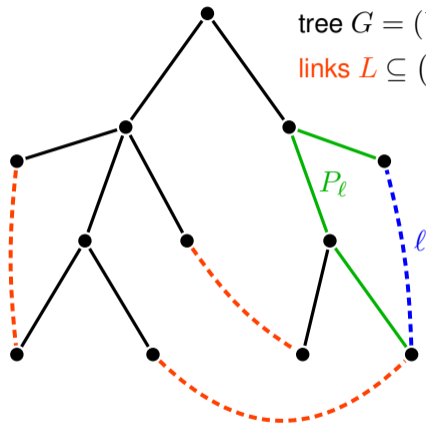


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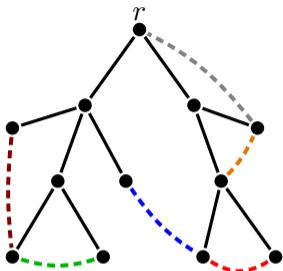
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**Equivalent:**

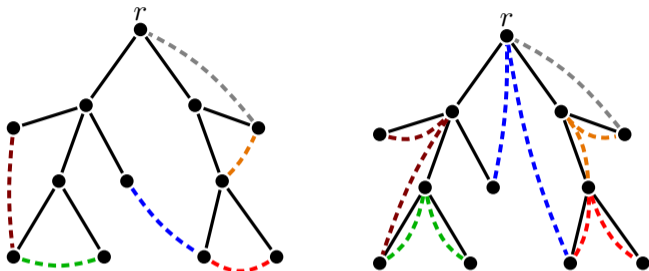
Every edge  $e \in E$  must be covered by a link  $\ell \in F$ ,  
i.e.,  $e \in P_\ell$  for some  $\ell \in F$ .

## 2-approximation algorithm



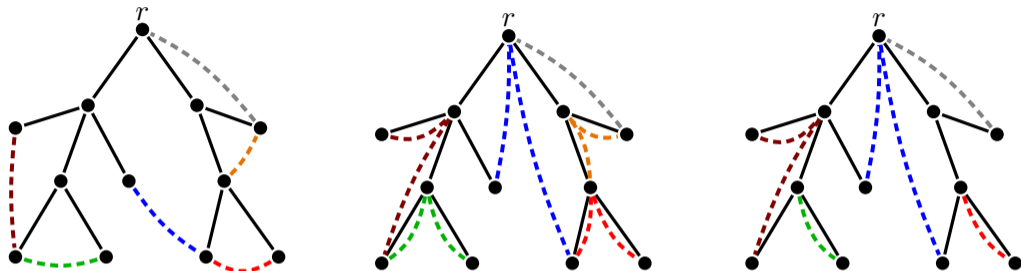
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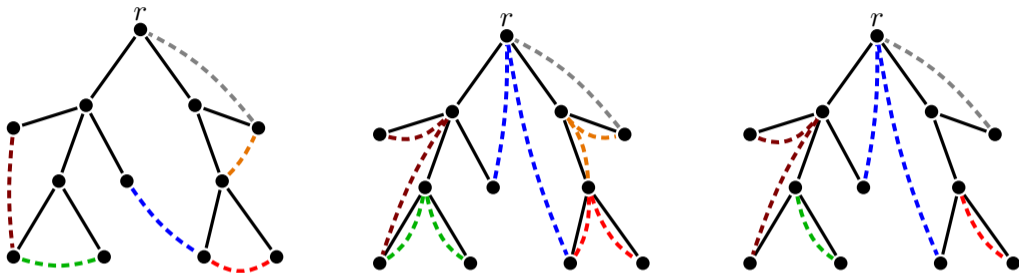
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- ③ Compute an optimal up-link solution.

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solve natural LP (integral), or  
use dynamic programming

## Better-than-2 approximations for special cases

- ▶ **unweighted tree augmentation (TAP):** 1.393-approximation [Cecchetto, T., Zenklusen, 2021]  
(improving on [Nagamochi, 2003], [Even, Feldmann, Kortsarz, Nutov, 2009], [Cheriyani, Gao, 2018], [Kortaz, Nutov, 2016], [Kortaz, Nutov, 2018], [Adjashvilli, 2018], [Nutov, 2017], [Fiorini, Groß, Könemann, Sanità, 2018], [Grandoni, Kalaitzis, Zenklusen, 2018])
- ▶ **constant-diameter trees:**  $(1 + \ln 2)$ -approximation [Cohen, Nutov, 2013]
- ▶ better-than-2 approximation if an opt. solution to natural LP has no small fractional values [Iglesias, Ravi, 2018]

### Theorem [T., Zenklusen, 2021]

There is a  $(1.5 + \varepsilon)$ -approximation algorithm for Weighted Tree Augmentation (WTAP) for any fixed  $\varepsilon > 0$ .

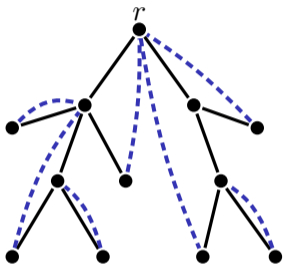
**Relative greedy algorithm:**  $(1 + \ln 2 + \varepsilon)$ -approximation

1. Compute a structured 2-approximate solution.
2. Show that every structured suboptimal solution can be improved.

# The Relative Greedy Algorithm

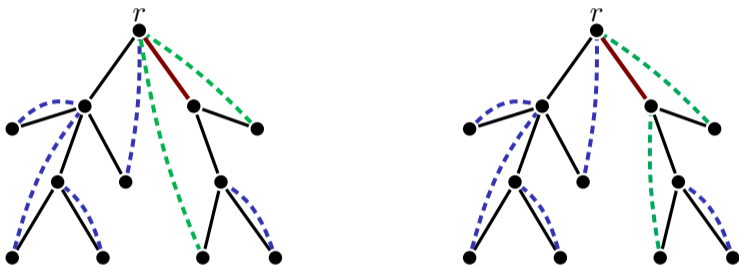


## The starting solution for relative greedy



- 1 Compute optimal up-link solution  $U$  (2-approximation).

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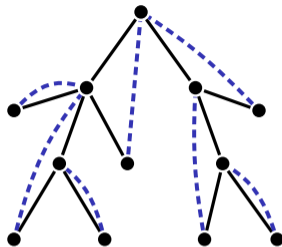


- ① Compute optimal up-link solution  $U$  (2-approximation).
- ② “Shorten” up-links s.t.  $P_u$  with  $u \in U$  are disjoint, i.e., every edge is covered by exactly one link.

## Relative greedy: improving structured solutions

**Invariant:**  $U \cup F$  is a WTAP solution.

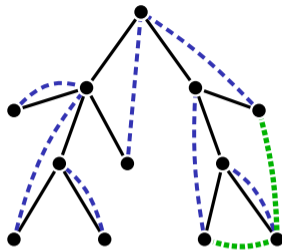
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  - Select a **component**  $C \subseteq L$ .
  - Add  $C$  to  $F$ .



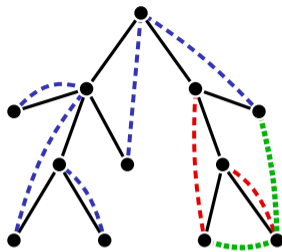
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  - Remove the following from  $U$ :

$$\text{Drop}_U(C) := \left\{ u \in U : P_u \subseteq \bigcup_{\ell \in C} P_\ell \right\}$$



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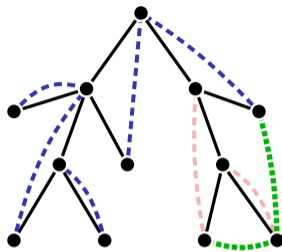
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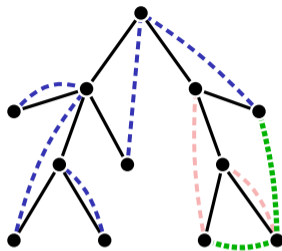
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Choose  $C$  as a  $\left\lceil \frac{1}{\varepsilon} \right\rceil$ -thin link set minimizing

$$\frac{w(C)}{w(\text{Drop}_U(C))}$$

# The Existence of Improving Components

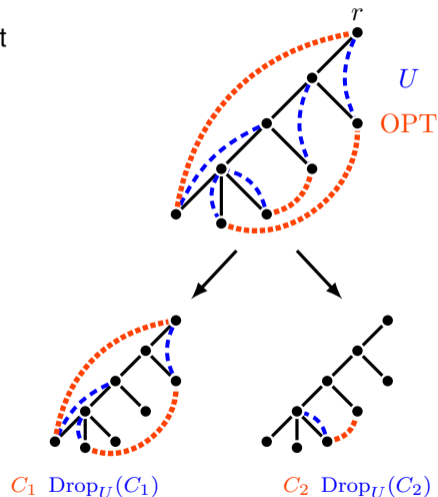
$U$  := set of up-links s.t. the paths  $P_u$  with  $u \in U$  are disjoint

Fix  $\varepsilon > 0$ .

## Decomposition Theorem [T., Zenklusen, 2021]

There exists a partition  $\mathcal{C}$  of **OPT** into  $\lceil 1/\varepsilon \rceil$ -thin components s.t.:

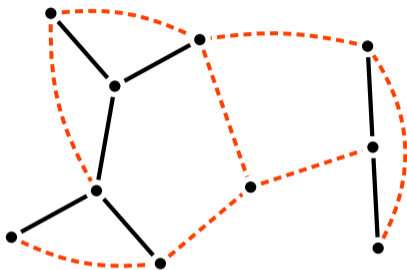
$$\sum_{C \in \mathcal{C}} w(\text{Drop}_U(C)) \geq (1 - \varepsilon) \cdot w(U).$$





# Forest Augmentation

## Forest Augmentation Problem (FAP)

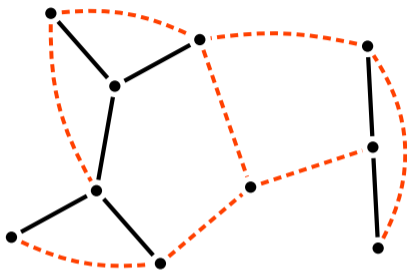


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**Task:** Find min-cardinality  $S \subseteq L$  s.t.  $G + S$  is 2-edge-connected.

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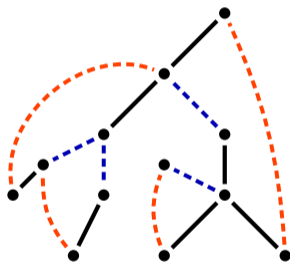
**Theorem** [Grandoni, Jabal Ameli, T., 2022]

There is a 1.998-approximation algorithm for Forest Augmentation.

- ▶ **Tree Augmentation Problem (TAP):**  $1.393$ -approximation [Cecchetto, T., Zenklusen, 2021]  
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- ▶ **Unweighted 2-ECSS:**  $\frac{4}{3}$ -approximation [Sebő, Vygen, 2014], [Hunkenschroder, Vempala, Vetta, 2019]  
(improving on [Khuller, Vishkin, 1994], [Cheriyani, Sebő, Szigeti, 2001])
- ▶ **Matching Augmentation Problem (MAP):**  $\frac{5}{3}$ -approximation [Cheriyani, Cummings, Dippel, Zhu, 2020]  
(improving on [Cheriyani, Dippel, Grandoni, Khan, Narayan, 2020], [Bamas, Drygala, Svensson, 2022])

## A naive approach

1. Complete the forest  $F$  to a spanning tree.
2. Augment the spanning tree to a 2-edge-connected graph using a  $\rho$ -approximation for TAP.

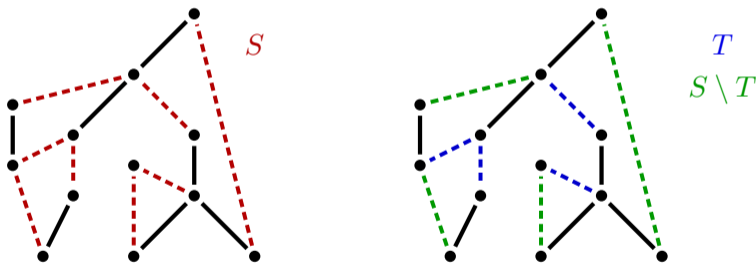


Number of edges:  $|V| - |F| - 1 + \rho \cdot \text{OPT}$

Issue:  $|V| - |F|$  could be as large as  $\text{OPT}$ .

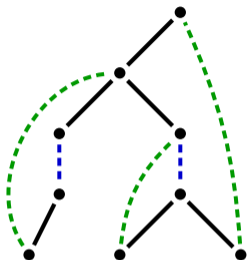
## A second approach

1. Compute a 2-approximation  $S \subseteq L$ .
2. Choose  $T \subseteq S$  such that  $F \cup T$  is a spanning tree.
3. Improve the TAP solution  $S \setminus T$ .



**Issue:** We cannot always improve the TAP solution.

# A better-than-2 approximation for Forest Augmentation



Construct TAP instance and  
up-link solution  $U$   
of total cost  $\leq 2 \cdot |\text{OPT}|$ .

$U$  far from optimal

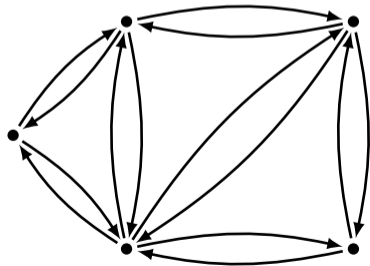
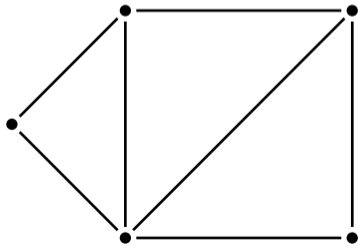
Use **relative greedy** to improve  
up-link solution.

$U$  almost optimal

Then: FAP instance is “close” to  
MAP instance.

## A 2-approximation for the Minimum Weight 2-ECSS problem

1. Replace every edge  $\{a, b\}$  by directed edges  $(a, b)$  and  $(b, a)$ .

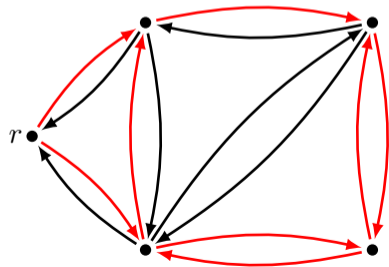
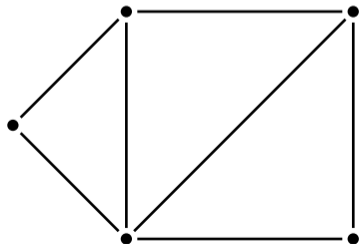




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1. Replace every edge  $\{a, b\}$  by directed edges  $(a, b)$  and  $(b, a)$ .
2. Fix  $r \in V$  and compute cheapest directed edge set  $D$  with

$$|D \cap \delta^-(R)| \geq 2 \quad \forall \emptyset \neq R \subsetneq V \setminus \{r\}.$$

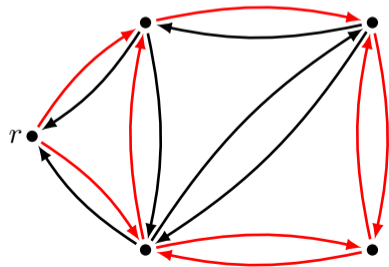
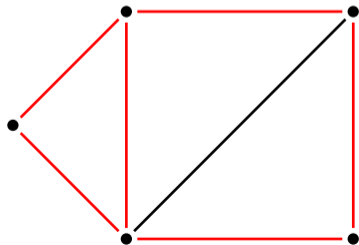


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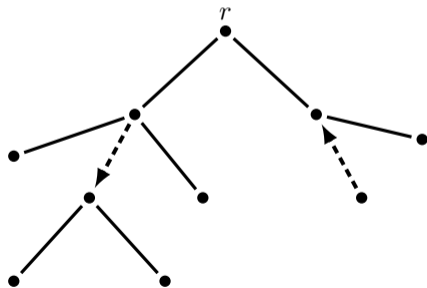


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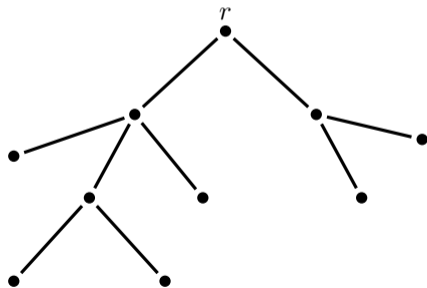


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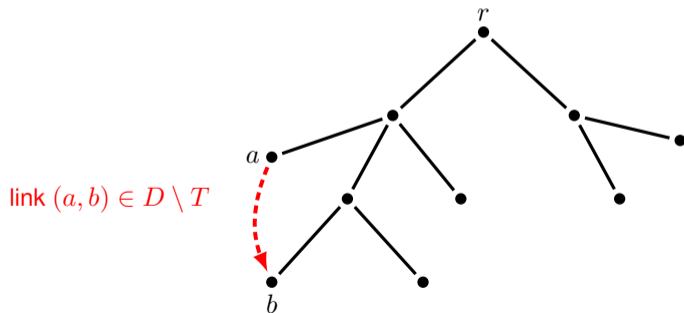


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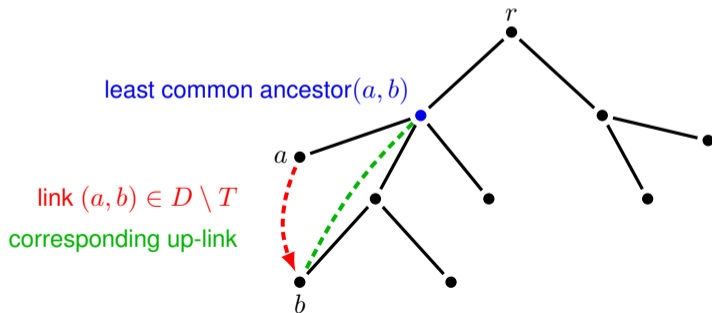


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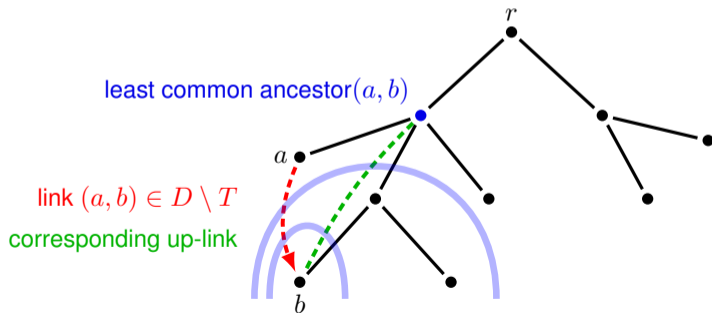


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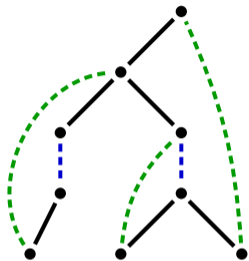
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# Conclusions

### Summary: best known approximation ratios

Min-weight 2-ECSS: 2-approximation

Weighted Tree Augmentation:  $(1.5 + \varepsilon)$ -approximation

Forest Augmentation: 1.998-approximation

Tree Augmentation: 1.393-approximation

**Open question:** Is there a better-than-2 approximation for min-weight 2-ECSS?

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