

# Round and Bipartize for Vertex Cover Approximation

Danish Kashaev, Guido Schäfer

CWI Amsterdam, APPROX 2023

June 29, 2023



# Vertex Cover Problem

**Input:** Graph  $\mathcal{G} = (V, E)$  with weights  $w : V \mapsto \mathbb{R}_+$

**Goal:** Find subset of vertices  $U \subset V$  of minimum weight covering all the edges of the graph, i.e:

$$\min \left\{ w(U) \mid U \subset V, |U \cap \{u, v\}| \geq 1 \quad \forall (u, v) \in E \right\}.$$

**Integer Programming Formulation:**

$$\begin{aligned} \min \quad & \sum_{v \in V} w_v x_v \\ & x_u + x_v \geq 1 \quad \forall (u, v) \in E \\ & x_v \in \{0, 1\} \quad \forall v \in V \end{aligned}$$

# Approximation Algorithms

## Definition: Approximation Algorithm

An efficient algorithm for a minimization problem is a  $\phi$ -approximation if it returns a solution  $U$  such that  $w(U) \leq \phi w(\text{OPT})$

## Vertex Cover

- NP-Hard
- NP-Hard to approximate within a factor of 1.36 [Dinur, Safra]
- NP-Hard to approximate within  $2 - \epsilon$  for any  $\epsilon > 0$  under the unique games conjecture [Khot, Regev]
- Admits an easy 2-approximation using linear programming

## Vertex Cover

**Linear Programming Relaxation  $P(\mathcal{G})$ :**

$$\begin{aligned} \min \quad & \sum_{v \in V} w_v x_v \\ & x_u + x_v \geq 1 \quad \forall (u, v) \in E \\ & x_v \geq 0 \quad \forall v \in V \end{aligned}$$

Any extreme point solution  $x^* \in [0, 1]^V$  of  $P(\mathcal{G})$  satisfies

- $x^* \in \{0, 1\}^V$  for bipartite graphs  $\mathcal{G}$
- $x^* \in \{0, \frac{1}{2}, 1\}^V$  for general graphs  $\mathcal{G}$

## Vertex Cover

**Linear Programming Relaxation  $P(\mathcal{G})$ :**

$$\begin{aligned} \min \quad & \sum_{v \in V} w_v x_v \\ & x_u + x_v \geq 1 \quad \forall (u, v) \in E \\ & x_v \geq 0 \quad \forall v \in V \end{aligned}$$

Any extreme point solution  $x^* \in [0, 1]^V$  of  $P(\mathcal{G})$  satisfies

- $x^* \in \{0, 1\}^V$  for bipartite graphs  $\mathcal{G}$
- $x^* \in \{0, \frac{1}{2}, 1\}^V$  for general graphs  $\mathcal{G}$

**Bipartite  $\mathcal{G}$ : exact algorithm**

Solve  $P(\mathcal{G})$  to get  $x^* \in \{0, 1\}^V$

**Return**  $U := \{v \in V \mid x_v^* = 1\}$

## Vertex Cover

Linear Programming Relaxation  $P(\mathcal{G})$ :

$$\begin{aligned} \min \quad & \sum_{v \in V} w_v x_v \\ & x_u + x_v \geq 1 \quad \forall (u, v) \in E \\ & x_v \geq 0 \quad \forall v \in V \end{aligned}$$

Any extreme point solution  $x^* \in [0, 1]^V$  of  $P(\mathcal{G})$  satisfies

- $x^* \in \{0, 1\}^V$  for bipartite graphs  $\mathcal{G}$
- $x^* \in \{0, \frac{1}{2}, 1\}^V$  for general graphs  $\mathcal{G}$

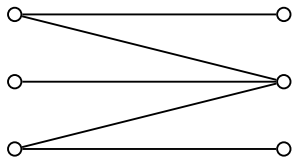
**Bipartite  $\mathcal{G}$ : exact algorithm**

Solve  $P(\mathcal{G})$  to get  $x^* \in \{0, 1\}^V$   
**Return**  $U := \{v \in V \mid x_v^* = 1\}$

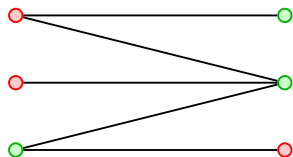
**General  $\mathcal{G}$ : 2-approximation**

Solve  $P(\mathcal{G})$  to get  $x^* \in \{0, \frac{1}{2}, 1\}^V$   
**Return**  $U := \{v \in V \mid x_v^* \geq \frac{1}{2}\}$

## Vertex Cover: LP Relaxation



## Vertex Cover: LP Relaxation

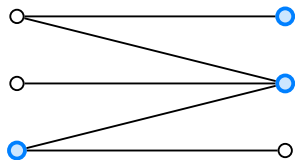


$$V_1 = \{v \mid x_v^* = 1\}$$

$$V_0 = \{v \mid x_v^* = 0\}$$



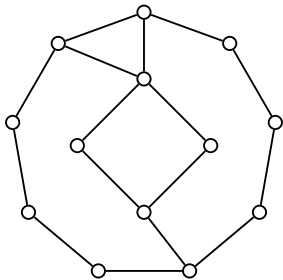
## Vertex Cover: LP Relaxation



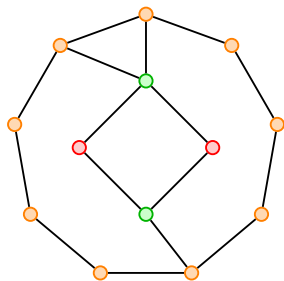
$$V_1 = \{v \mid x_v^* = 1\}$$

$$V_0 = \{v \mid x_v^* = 0\}$$

## Vertex Cover: LP Relaxation



## Vertex Cover: LP Relaxation

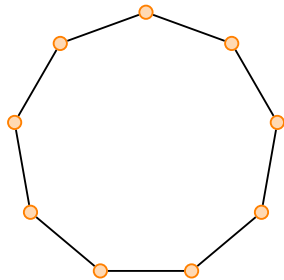


$$V_1 = \{v \mid x_v^* = 1\}$$

$$V_{\frac{1}{2}} = \{v \mid x_v^* = \frac{1}{2}\}$$

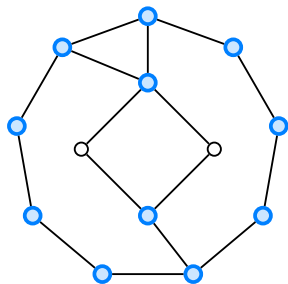
$$V_0 = \{v \mid x_v^* = 0\}$$

## Vertex Cover: LP Relaxation



$$V_{\frac{1}{2}} = \{v \mid x_v^* = \frac{1}{2}\}$$

## Vertex Cover: LP Relaxation



$$V_1 = \{v \mid x_v^* = 1\}$$

$$V_{\frac{1}{2}} = \{v \mid x_v^* = \frac{1}{2}\}$$

$$V_0 = \{v \mid x_v^* = 0\}$$

## Nemhauser-Trotter theorem

$\mathcal{G}_{1/2}$  is the subgraph induced by the half-integral nodes  $V_{1/2}$ .

### Theorem [Nemhauser-Trotter]

Let  $x^* \in \{0, \frac{1}{2}, 1\}^V$  be an optimal extreme point solution to  $P(\mathcal{G})$ . Then,

$$w(\text{OPT}(\mathcal{G}_{1/2})) + w(V_1) = w(\text{OPT}(\mathcal{G})).$$

## Nemhauser-Trotter theorem

$\mathcal{G}_{1/2}$  is the subgraph induced by the half-integral nodes  $V_{1/2}$ .

### Theorem [Nemhauser-Trotter]

Let  $x^* \in \{0, \frac{1}{2}, 1\}^V$  be an optimal extreme point solution to  $P(\mathcal{G})$ . Then,

$$w(\text{OPT}(\mathcal{G}_{1/2})) + w(V_1) = w(\text{OPT}(\mathcal{G})).$$

### Corollary

*If  $S \subset V_{1/2}$  is a  $\phi$ -approximate solution for  $\mathcal{G}_{1/2}$ , then  $S \cup V_1$  is a  $\phi$ -approximate solution for  $\mathcal{G}$ .*

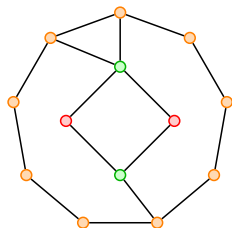
**Proof:**  $w(S) + w(V_1) \leq \phi w(\text{OPT}(\mathcal{G}_{1/2})) + w(V_1) \leq \phi w(\text{OPT}(\mathcal{G}))$

# Nemhauser-Trotter theorem

## Corollary

If  $S \subset V_{1/2}$  is a  $\phi$ -approximate solution for  $\mathcal{G}_{1/2}$ , then  $S \cup V_1$  is a  $\phi$ -approximate solution for  $\mathcal{G}$ .

→ We may restrict our attention to  $\mathcal{G}_{1/2}$ .



$$V_1 = \{v \mid x_v^* = 1\}$$

$$V_{\frac{1}{2}} = \{v \mid x_v^* = \frac{1}{2}\}$$

$$V_0 = \{v \mid x_v^* = 0\}$$

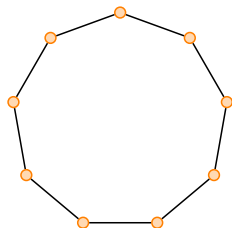


# Nemhauser-Trotter theorem

## Corollary

If  $S \subset V_{1/2}$  is a  $\phi$ -approximate solution for  $\mathcal{G}_{1/2}$ , then  $S \cup V_1$  is a  $\phi$ -approximate solution for  $\mathcal{G}$ .

→ We may restrict our attention to  $\mathcal{G}_{1/2}$ .



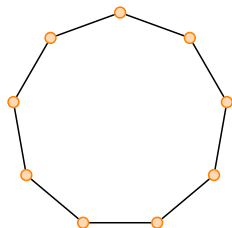
$$V_{\frac{1}{2}} = \{v \mid x_v^* = \frac{1}{2}\}$$

# Nemhauser-Trotter theorem

## Corollary

If  $S \subset V_{1/2}$  is a  $\phi$ -approximate solution for  $\mathcal{G}_{1/2}$ , then  $S \cup V_1$  is a  $\phi$ -approximate solution for  $\mathcal{G}$ .

→ We may restrict our attention to  $\mathcal{G}_{1/2}$ .



$$V_{\frac{1}{2}} = \{v \mid x_v^* = \frac{1}{2}\}$$

## Question

Possible to exploit some information about  $\mathcal{G}_{1/2}$  for better approximation?

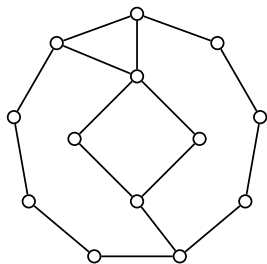
## Our Set-Up

### Algorithm: Additional Input

Suppose we have access to an odd cycle transversal  $S$  of  $\mathcal{G}_{1/2}$ ,  
i.e.  $\mathcal{G}_{1/2} \setminus S$  is a bipartite graph

### New Algorithm

- Solve the linear program  $P(\mathcal{G})$  to get  $V_0, V_1, V_{1/2}$
- Solve the integral linear program  $P(\mathcal{G}_{1/2} \setminus S)$  to get  $W \subset V_{1/2}$
- **Return:**  $V_1 \cup S \cup W$



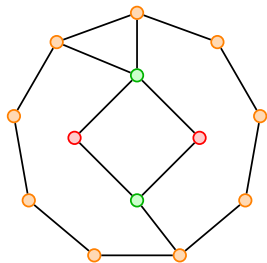
## Our Set-Up

### Algorithm: Additional Input

Suppose we have access to an odd cycle transversal  $S$  of  $\mathcal{G}_{1/2}$ ,  
i.e.  $\mathcal{G}_{1/2} \setminus S$  is a bipartite graph

### New Algorithm

- Solve the linear program  $P(\mathcal{G})$  to get  $V_0, V_1, V_{1/2}$
- Solve the integral linear program  $P(\mathcal{G}_{1/2} \setminus S)$  to get  $W \subset V_{1/2}$
- **Return:**  $V_1 \cup S \cup W$



$$V_1 = \{v \mid x_v^* = 1\}$$

$$V_{\frac{1}{2}} = \{v \mid x_v^* = \frac{1}{2}\}$$

$$V_0 = \{v \mid x_v^* = 0\}$$

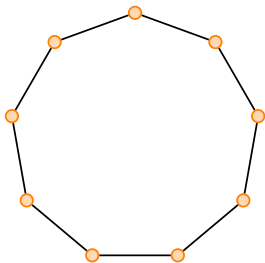
## Our Set-Up

### Algorithm: Additional Input

Suppose we have access to an odd cycle transversal  $S$  of  $\mathcal{G}_{1/2}$ ,  
i.e.  $\mathcal{G}_{1/2} \setminus S$  is a bipartite graph

### New Algorithm

- Solve the linear program  $P(\mathcal{G})$  to get  $V_0, V_1, V_{1/2}$
- Solve the integral linear program  $P(\mathcal{G}_{1/2} \setminus S)$  to get  $W \subset V_{1/2}$
- **Return:**  $V_1 \cup S \cup W$



$$V_{\frac{1}{2}} = \{v \mid x_v^* = \frac{1}{2}\}$$

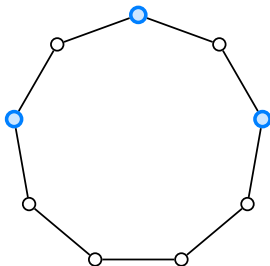
## Our Set-Up

### Algorithm: Additional Input

Suppose we have access to an odd cycle transversal  $S$  of  $\mathcal{G}_{1/2}$ ,  
i.e.  $\mathcal{G}_{1/2} \setminus S$  is a bipartite graph

### New Algorithm

- Solve the linear program  $P(\mathcal{G})$  to get  $V_0, V_1, V_{1/2}$
- Solve the integral linear program  $P(\mathcal{G}_{1/2} \setminus S)$  to get  $W \subset V_{1/2}$
- **Return:**  $V_1 \cup S \cup W$



$$S \subset V_{1/2}$$

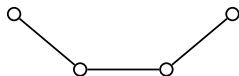
## Our Set-Up

### Algorithm: Additional Input

Suppose we have access to an odd cycle transversal  $S$  of  $\mathcal{G}_{1/2}$ ,  
i.e.  $\mathcal{G}_{1/2} \setminus S$  is a bipartite graph

### New Algorithm

- Solve the linear program  $P(\mathcal{G})$  to get  $V_0, V_1, V_{1/2}$
- Solve the integral linear program  $P(\mathcal{G}_{1/2} \setminus S)$  to get  $W \subset V_{1/2}$
- **Return:**  $V_1 \cup S \cup W$



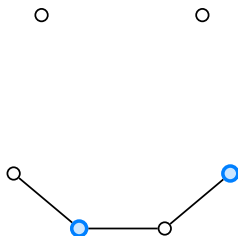
## Our Set-Up

### Algorithm: Additional Input

Suppose we have access to an odd cycle transversal  $S$  of  $\mathcal{G}_{1/2}$ ,  
i.e.  $\mathcal{G}_{1/2} \setminus S$  is a bipartite graph

### New Algorithm

- Solve the linear program  $P(\mathcal{G})$  to get  $V_0, V_1, V_{1/2}$
- Solve the integral linear program  $P(\mathcal{G}_{1/2} \setminus S)$  to get  $W \subset V_{1/2}$
- **Return:**  $V_1 \cup S \cup W$



$$W = \text{OPT}(\mathcal{G} \setminus S)$$



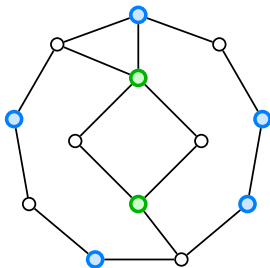
## Our Set-Up

### Algorithm: Additional Input

Suppose we have access to an odd cycle transversal  $S$  of  $\mathcal{G}_{1/2}$ ,  
i.e.  $\mathcal{G}_{1/2} \setminus S$  is a bipartite graph

### New Algorithm

- Solve the linear program  $P(\mathcal{G})$  to get  $V_0, V_1, V_{1/2}$
- Solve the integral linear program  $P(\mathcal{G}_{1/2} \setminus S)$  to get  $W \subset V_{1/2}$
- **Return:**  $V_1 \cup S \cup W$



$$V_1 \cup S \cup W$$

## Motivation

**Goal:** Fine-grained analysis of the approximation ratio of this algorithm

- Taking  $S = V_{1/2}$  recovers the standard 2-approximation.

# Motivation

**Goal:** Fine-grained analysis of the approximation ratio of this algorithm

- Taking  $S = V_{1/2}$  recovers the standard 2-approximation.
- Interpolating the rounding curve of the standard LP from 1 to 2 depending on "how far" the graph is from being bipartite.

# Motivation

**Goal:** Fine-grained analysis of the approximation ratio of this algorithm

- Taking  $S = V_{1/2}$  recovers the standard 2-approximation.
- Interpolating the rounding curve of the standard LP from 1 to 2 depending on "how far" the graph is from being bipartite.
- Beyond the worst-case understanding of the approximation ratio using additional parameters.

# Motivation

**Goal:** Fine-grained analysis of the approximation ratio of this algorithm

- Taking  $S = V_{1/2}$  recovers the standard 2-approximation.
- Interpolating the rounding curve of the standard LP from 1 to 2 depending on "how far" the graph is from being bipartite.
- Beyond the worst-case understanding of the approximation ratio using additional parameters.
- Algorithms with predictions: a machine learning algorithm could learn to find a good such set  $S$ .

# Motivation

**Goal:** Fine-grained analysis of the approximation ratio of this algorithm

- Taking  $S = V_{1/2}$  recovers the standard 2-approximation.
- Interpolating the rounding curve of the standard LP from 1 to 2 depending on "how far" the graph is from being bipartite.
- Beyond the worst-case understanding of the approximation ratio using additional parameters.
- Algorithms with predictions: a machine learning algorithm could learn to find a good such set  $S$ .
- Exploiting TU (or integral) substructure:  $\mathcal{G}' = \mathcal{G} \setminus S$  is an induced bipartite subgraph, for which  $P(\mathcal{G}')$  is TU.

# Motivation

**Goal:** Fine-grained analysis of the approximation ratio of this algorithm

- Taking  $S = V_{1/2}$  recovers the standard 2-approximation.
- Interpolating the rounding curve of the standard LP from 1 to 2 depending on "how far" the graph is from being bipartite.
- Beyond the worst-case understanding of the approximation ratio using additional parameters.
- Algorithms with predictions: a machine learning algorithm could learn to find a good such set  $S$ .
- Exploiting TU (or integral) substructure:  $\mathcal{G}' = \mathcal{G} \setminus S$  is an induced bipartite subgraph, for which  $P(\mathcal{G}')$  is TU.
- New/different view on a heavily studied problem

## High-Level View

- Weight Space: for which weight functions  $w : V \rightarrow \mathbb{R}$  is the solution  $(1/2, \dots, 1/2)$  optimal?
- Analysis of the algorithm under the assumption that  $S$  is a stable set.
- Generalization to an arbitrary set  $S$ .
- Algorithmic applications to find a good set  $S$ .
- Showing optimality of the analysis.



## High-Level View

- **Weight Space:** for which weight functions  $w : V \rightarrow \mathbb{R}$  is the solution  $(1/2, \dots, 1/2)$  optimal?
- Analysis of the algorithm under the assumption that  $S$  is a stable set.
- Generalization to an arbitrary set  $S$ .
- Algorithmic applications to find a good set  $S$ .
- Showing optimality of the analysis.

## Weight Space

By Nemhauser-Trotter, we may focus only on graphs  $(V, E)$  with weights  $w : V \rightarrow \mathbb{R}_+$  such that  $(1/2, \dots, 1/2)$  is an optimal solution to  $P(\mathcal{G})$ .

### Lemma

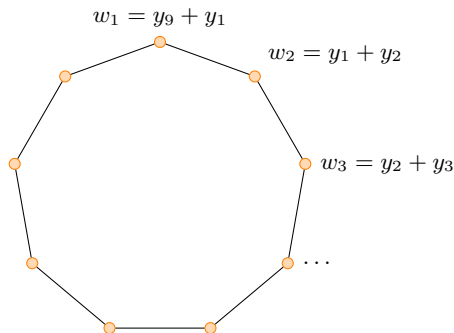
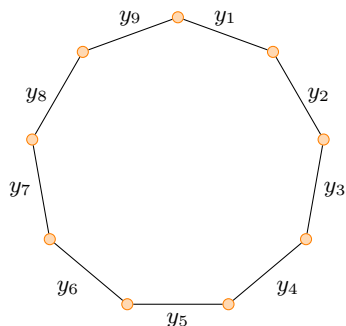
$(\frac{1}{2}, \dots, \frac{1}{2})$  is optimal for  $P(\mathcal{G}) \iff \exists y \in \mathbb{R}_+^E$  s.t.  $w_v = y(\delta(v)) \quad \forall v \in V.$

## Weight Space

By Nemhauser-Trotter, we may focus only on graphs  $(V, E)$  with weights  $w : V \rightarrow \mathbb{R}_+$  such that  $(1/2, \dots, 1/2)$  is an optimal solution to  $P(\mathcal{G})$ .

### Lemma

$(\frac{1}{2}, \dots, \frac{1}{2})$  is optimal for  $P(\mathcal{G}) \iff \exists y \in \mathbb{R}_+^E$  s.t.  $w_v = y(\delta(v)) \quad \forall v \in V$ .



## Weight Space

By Nemhauser-Trotter, we may focus only on graphs  $(V, E)$  with weights  $w : V \rightarrow \mathbb{R}_+$  such that  $(1/2, \dots, 1/2)$  is an optimal solution to  $P(\mathcal{G})$ .

### Lemma

$(\frac{1}{2}, \dots, \frac{1}{2})$  is optimal for  $P(\mathcal{G}) \iff \exists y \in \mathbb{R}_+^E$  s.t.  $w_v = y(\delta(v)) \quad \forall v \in V.$

**Proof.** By comp. slackness, a primal-dual pair  $(x, y)$  is optimal iff

$$x_v > 0 \implies y(\delta(v)) = w_v \quad \forall v \in V \quad (1)$$

$$y_e > 0 \implies x_u + x_v = 1 \quad \forall e = (u, v) \in E \quad (2)$$

$\implies$  Follows from condition (1)

$\longleftarrow$  The pair  $(\frac{1}{2}, \dots, \frac{1}{2}), y$  satisfy both conditions (1) and (2)

## Weight Space

By Nemhauser-Trotter, we may focus only on graphs  $(V, E)$  with weights  $w : V \rightarrow \mathbb{R}_+$  such that  $(1/2, \dots, 1/2)$  is an optimal solution to  $P(\mathcal{G})$ .

### Lemma

$(\frac{1}{2}, \dots, \frac{1}{2})$  is optimal for  $P(\mathcal{G}) \iff \exists y \in \mathbb{R}_+^E$  s.t.  $w_v = y(\delta(v)) \quad \forall v \in V$ .

$$\implies w(V) = 2y(E)$$

The approximation ratio  $w(U)/w(\text{OPT})$  is invariant to scaling:

$$\implies \text{normalize } w(V) = 2 \text{ and } y(E) = 1$$

### Weight Space

$$Q^W := \{w \in \mathbb{R}_+^V \mid \exists y \in \mathbb{R}_+^E \text{ s.t. } y(E) = 1 \text{ and } w_v = y(\delta(v)) \quad \forall v \in V\}$$

## Lower Bound on OPT

### Lemma

Let  $\mathcal{G} = (V, E)$  be a graph. For any  $w \in Q^W$ ,

$$w(\text{OPT}(\mathcal{G})) \geq 1$$

**Proof.** Since  $w \in Q^W$ , the solution  $(\frac{1}{2}, \dots, \frac{1}{2})$  is an optimal LP solution, by the previous slide. Its objective value (or cost) is

$$w(V)/2 = 1.$$

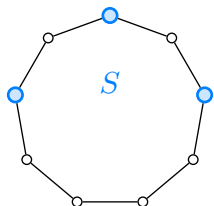
Since  $\text{OPT}(\mathcal{G})$  is a feasible LP solution, we get

$$w(\text{OPT}(\mathcal{G})) \geq 1.$$

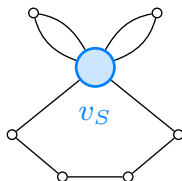
## High-Level View

- Weight Space: for which weight functions  $w : V \rightarrow \mathbb{R}$  is the solution  $(1/2, \dots, 1/2)$  optimal?
- Analysis of the algorithm under the assumption that  $S$  is a stable set.
- Generalization to an arbitrary set  $S$ .
- Algorithmic applications to find a good set  $S$ .
- Showing optimality of the analysis.

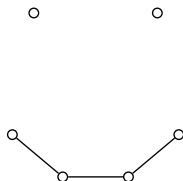
## Stable Set to Bipartite



$\mathcal{G}$



$\tilde{\mathcal{G}} = \mathcal{G}/S$



$\mathcal{G}' = \mathcal{G} \setminus S$

**Definition:** parameter  $\rho$

$2\rho - 1$  denotes the *odd girth* (length of the shortest odd cycle) of  $\tilde{\mathcal{G}}$ .

Hence, the range is  $\rho \in [2, \infty]$

In the above example,  $\rho = 3$ , since the shortest odd cycle has length 5.



## Stable Set to Bipartite

### Algorithm/Approximation Ratio

Round on  $S$  and solve the integral linear program  $P(\mathcal{G} \setminus S)$ .

$$R(w) := \frac{w(S) + w(\text{OPT}(\mathcal{G} \setminus S))}{w(\text{OPT}(\mathcal{G}))}$$

## Stable Set to Bipartite

### Algorithm/Approximation Ratio

Round on  $S$  and solve the integral linear program  $P(\mathcal{G} \setminus S)$ .

$$R(w) := \frac{w(S) + w(\text{OPT}(\mathcal{G} \setminus S))}{w(\text{OPT}(\mathcal{G}))}$$

- Every weight function is assumed WLOG to satisfy  $w \in Q^W$
- $\tilde{\mathcal{G}} = \mathcal{G}/S$  is the graph obtained after contracting  $S$
- The odd girth of  $\tilde{\mathcal{G}}$  is denoted by  $2\rho - 1$ , hence  $\rho \in [2, \infty]$

# Stable Set to Bipartite

## Algorithm/Approximation Ratio

Round on  $S$  and solve the integral linear program  $P(\mathcal{G} \setminus S)$ .

$$R(w) := \frac{w(S) + w(\text{OPT}(\mathcal{G} \setminus S))}{w(\text{OPT}(\mathcal{G}))}$$

- Every weight function is assumed WLOG to satisfy  $w \in Q^W$
- $\tilde{\mathcal{G}} = \mathcal{G}/S$  is the graph obtained after contracting  $S$
- The odd girth of  $\tilde{\mathcal{G}}$  is denoted by  $2\rho - 1$ , hence  $\rho \in [2, \infty]$

## Theorem

Let  $(\mathcal{G}, S)$  be the input, with  $S$  being a stable set. Then

$$R(w) \leq 1 + \frac{1}{\rho}$$

for every  $w \in Q^W$ . Equality holds for a convex subset  $\mathcal{W} \subset Q^W$ .

## Tool to get improved bounds

$\mathcal{G}' = \mathcal{G} \setminus S$  is the bipartite graph obtained after removing  $S$ .

### Definition

For a feasible vertex cover  $U \subset V \setminus S$  of the bipartite graph  $\mathcal{G}'$ , we define

$$E_U := \{(u, v) \in E \mid u \in U, v \in U \text{ or } u \in U, v \in S\}.$$

Covers  $U_1, \dots, U_k$  are *edge-separate* if  $\{E_{U_1}, \dots, E_{U_k}\}$  are pairwise disjoint.

*Remark:* Only need to cover  $E(\mathcal{G}') = E \setminus \delta(S)$ , but  $E_U \subset E$

## Tool to get improved bounds

$\mathcal{G}' = \mathcal{G} \setminus S$  is the bipartite graph obtained after removing  $S$ .

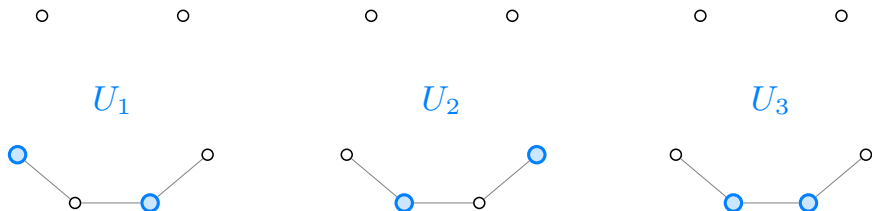
### Definition

For a feasible vertex cover  $U \subset V \setminus S$  of the bipartite graph  $\mathcal{G}'$ , we define

$$E_U := \{(u, v) \in E \mid u \in U, v \in U \text{ or } u \in U, v \in S\}.$$

Covers  $U_1, \dots, U_k$  are *edge-separate* if  $\{E_{U_1}, \dots, E_{U_k}\}$  are pairwise disjoint.

*Remark:* Only need to cover  $E(\mathcal{G}') = E \setminus \delta(S)$ , but  $E_U \subset E$



## Tool to get improved bounds

$\mathcal{G}' = \mathcal{G} \setminus S$  is the bipartite graph obtained after removing  $S$ .

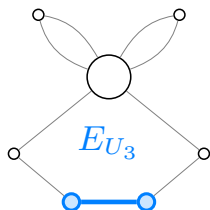
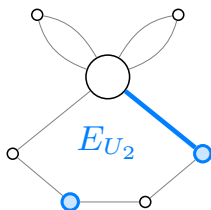
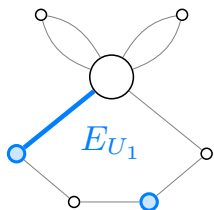
### Definition

For a feasible vertex cover  $U \subset V \setminus S$  of the bipartite graph  $\mathcal{G}'$ , we define

$$E_U := \{(u, v) \in E \mid u \in U, v \in U \text{ or } u \in U, v \in S\}.$$

Covers  $U_1, \dots, U_k$  are *edge-separate* if  $\{E_{U_1}, \dots, E_{U_k}\}$  are pairwise disjoint.

*Remark:* Only need to cover  $E(\mathcal{G}') = E \setminus \delta(S)$ , but  $E_U \subset E$



## Tool to get improved bounds

$\mathcal{G}' = \mathcal{G} \setminus S$  is the bipartite graph obtained after removing  $S$ .

### Definition

For a feasible vertex cover  $U \subset V \setminus S$  of the bipartite graph  $\mathcal{G}'$ , we define

$$E_U := \{(u, v) \in E \mid u \in U, v \in U \text{ or } u \in U, v \in S\}.$$

Covers  $U_1, \dots, U_k$  are *edge-separate* if  $\{E_{U_1}, \dots, E_{U_k}\}$  are pairwise disjoint.

*Remark:* Only need to cover  $E(\mathcal{G}') = E \setminus \delta(S)$ , but  $E_U \subset E$

Since  $w_v = y(\delta(v))$ , we can count the weight as

$$w(U) = y(E(\mathcal{G}')) + y(E_U)$$

because  $E(\mathcal{G}')$  is counted at least once, by feasibility, with a surplus of  $E_U$

## Tool to get improved bounds

$\mathcal{G}' = \mathcal{G} \setminus S$  is the bipartite graph obtained after removing  $S$ .

### Definition

For a feasible vertex cover  $U \subset V \setminus S$  of the bipartite graph  $\mathcal{G}'$ , we define

$$E_U := \{(u, v) \in E \mid u \in U, v \in U \text{ or } u \in U, v \in S\}.$$

Covers  $U_1, \dots, U_k$  are *edge-separate* if  $\{E_{U_1}, \dots, E_{U_k}\}$  are pairwise disjoint.

*Remark:* Only need to cover  $E(\mathcal{G}') = E \setminus \delta(S)$ , but  $E_U \subset E$

### Lemma 1

Let  $(\mathcal{G}, S)$  be the input, with  $S$  being a stable set. If there exists  $k$  edge-separate covers of  $\mathcal{G}'$ , then

$$R(w) \leq 1 + \frac{1}{k} \quad \forall w \in Q^W$$



## Tool to get improved bounds

### Lemma 1

Let  $(\mathcal{G}, S)$  be the input, with  $S$  being a stable set. If there exists  $k$  edge-separate covers of  $\mathcal{G}'$ , then  $R(w) \leq 1 + 1/k \quad \forall w \in Q^W$ .

**Proof.** Let  $w \in Q^W$  and  $y \in \mathbb{R}^E$  s.t.  $w_v = y(\delta(v))$  and  $y(E) = 1$ . Let  $\{U_1, \dots, U_k\}$  be the edge-separate covers.

## Tool to get improved bounds

### Lemma 1

Let  $(\mathcal{G}, S)$  be the input, with  $S$  being a stable set. If there exists  $k$  edge-separate covers of  $\mathcal{G}'$ , then  $R(w) \leq 1 + 1/k \quad \forall w \in Q^W$ .

**Proof.** Let  $w \in Q^W$  and  $y \in \mathbb{R}^E$  s.t.  $w_v = y(\delta(v))$  and  $y(E) = 1$ . Let  $\{U_1, \dots, U_k\}$  be the edge-separate covers.

$$w(S) = y(\delta(S)), \quad w(U_i) = y(E') + y(E_{U_i}) \quad \forall i \in [k]$$

## Tool to get improved bounds

### Lemma 1

Let  $(\mathcal{G}, S)$  be the input, with  $S$  being a stable set. If there exists  $k$  edge-separate covers of  $\mathcal{G}'$ , then  $R(w) \leq 1 + 1/k \quad \forall w \in Q^W$ .

**Proof.** Let  $w \in Q^W$  and  $y \in \mathbb{R}^E$  s.t.  $w_v = y(\delta(v))$  and  $y(E) = 1$ . Let  $\{U_1, \dots, U_k\}$  be the edge-separate covers.

$$w(S) = y(\delta(S)), \quad w(U_i) = y(E') + y(E_{U_i}) \quad \forall i \in [k]$$

$$\begin{aligned} R(w) &= \frac{w(S) + w(OPT(\mathcal{G}'))}{w(OPT(\mathcal{G}))} \leq w(S) + w(OPT(\mathcal{G}')) \\ &\leq w(S) + \min_{i \in [k]} w(U_i) = y(\delta(S)) + y(E') + \min_{i \in [k]} y(E_{U_i}) \\ &= 1 + \min_{i \in [k]} y(E_{U_i}) \leq 1 + \frac{1}{k} \end{aligned}$$

## Constructing $\rho$ covers

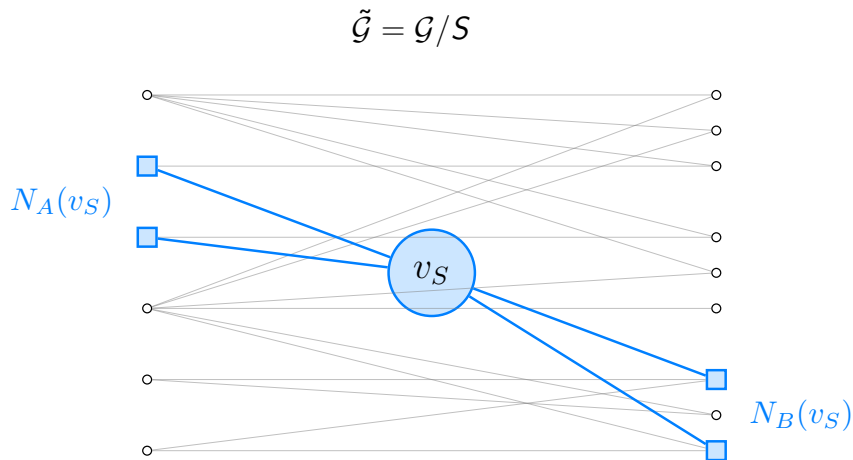
### Lemma 2

There exists  $\rho$  edge-separate covers of  $\mathcal{G}' = (A \cup B, E')$ , where  $2\rho - 1$  is the odd girth of the contracted graph  $\tilde{\mathcal{G}} = \mathcal{G}/S$ .

## Constructing $\rho$ covers

### Lemma 2

There exists  $\rho$  edge-separate covers of  $\mathcal{G}' = (A \cup B, E')$ , where  $2\rho - 1$  is the odd girth of the contracted graph  $\tilde{\mathcal{G}} = \mathcal{G}/S$ .

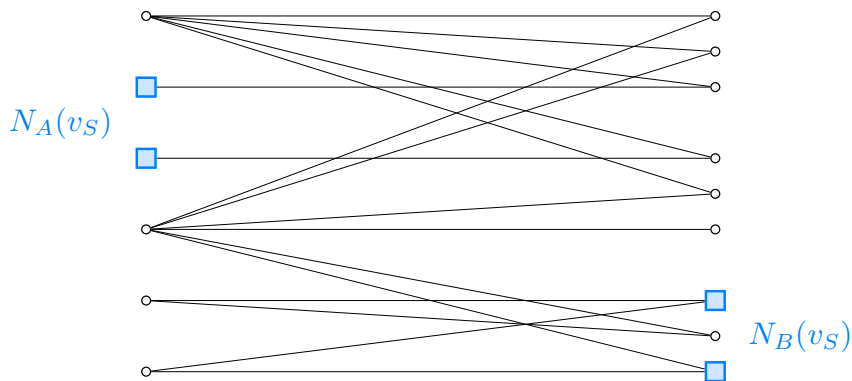


## Constructing $\rho$ covers

### Lemma 2

There exists  $\rho$  edge-separate covers of  $\mathcal{G}' = (A \cup B, E')$ , where  $2\rho - 1$  is the odd girth of the contracted graph  $\tilde{\mathcal{G}} = \mathcal{G}/S$ .

$$\mathcal{G}' = (A \cup B, E')$$

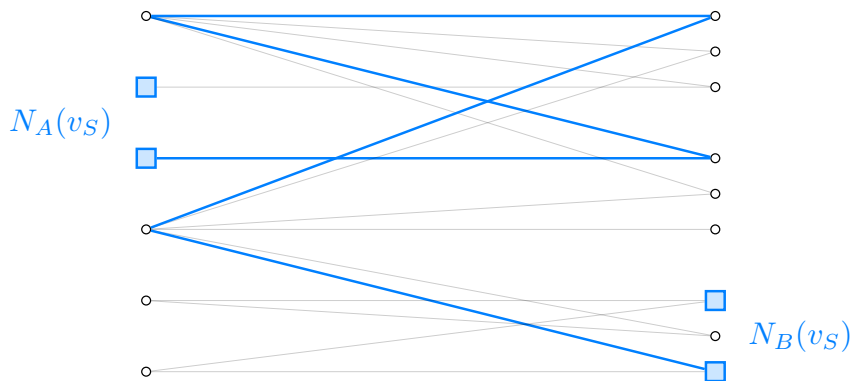


## Constructing $\rho$ covers

### Lemma 2

There exists  $\rho$  edge-separate covers of  $\mathcal{G}' = (A \cup B, E')$ , where  $2\rho - 1$  is the odd girth of the contracted graph  $\tilde{\mathcal{G}} = \mathcal{G}/S$ .

Shortest odd cycle with  $\rho = 4$

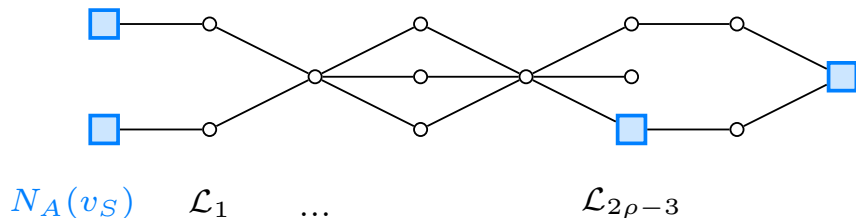


## Constructing $\rho$ covers

### Lemma 2

There exists  $\rho$  edge-separate covers of  $\mathcal{G}' = (A \cup B, E')$ , where  $2\rho - 1$  is the odd girth of the contracted graph  $\tilde{\mathcal{G}} = \mathcal{G}/S$ .

$$\mathcal{L}_i := \left\{ v \in A \cup B \mid d(N_A(v_S), v) = i \right\} \quad \forall i \geq 0$$



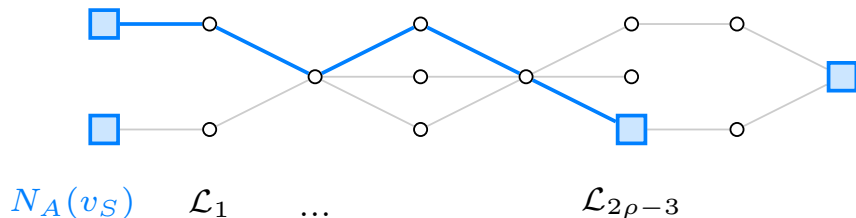


## Constructing $\rho$ covers

### Lemma 2

There exists  $\rho$  edge-separate covers of  $\mathcal{G}' = (A \cup B, E')$ , where  $2\rho - 1$  is the odd girth of the contracted graph  $\tilde{\mathcal{G}} = \mathcal{G}/S$ .

Shortest odd cycle with  $\rho = 4$

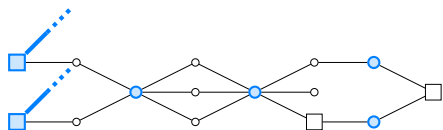


## Constructing $\rho$ covers

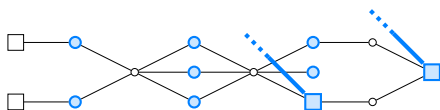
### Lemma 2

There exists  $\rho$  edge-separate covers of  $\mathcal{G}' = (A \cup B, E')$ , where  $2\rho - 1$  is the odd girth of the contracted graph  $\tilde{\mathcal{G}} = \mathcal{G}/S$ .

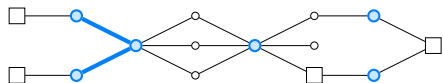
$U_1, \dots, U_\rho$  pairwise edge-separate covers of  $\mathcal{G}'$ :



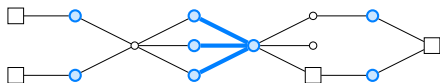
$$E_{U_1} = E_A = \delta_A(v_S)$$



$$E_{U_2} = E_B = \delta_B(v_S)$$



$$E_{U_3} = E[\mathcal{L}_1, \mathcal{L}_2]$$



$$E_{U_4} = E[\mathcal{L}_3, \mathcal{L}_4]$$

## $1 + 1/\rho$ approximation

### Theorem (Upper Bound)

Let  $(\mathcal{G}, S)$  be the input, with  $S$  being a stable set. Then  $R(w) \leq 1 + 1/\rho$  for every  $w \in Q^W$ , where  $2\rho - 1$  is the odd girth of  $\tilde{\mathcal{G}}$ .

### Lemma 1

Let  $(\mathcal{G}, S)$  be the input, with  $S$  being a stable set. If there exists  $k$  edge-separate covers of  $\mathcal{G}'$ , then  $R(w) \leq 1 + 1/k \quad \forall w \in Q^W$ .

### Lemma 2

There exists  $\rho$  pairwise edge-separate covers of  $\mathcal{G}'$ .

## $1 + 1/\rho$ approximation

### Theorem (Upper Bound)

Let  $(\mathcal{G}, S)$  be the input, with  $S$  being a stable set. Then  $R(w) \leq 1 + 1/\rho$  for every  $w \in Q^W$ , where  $2\rho - 1$  is the odd girth of  $\tilde{\mathcal{G}}$ .

### Lemma 1

Let  $(\mathcal{G}, S)$  be the input, with  $S$  being a stable set. If there exists  $k$  edge-separate covers of  $\mathcal{G}'$ , then  $R(w) \leq 1 + 1/k \quad \forall w \in Q^W$ .

### Lemma 2

There exists  $\rho$  pairwise edge-separate covers of  $\mathcal{G}'$ .

### Question.

Are there weight functions for which this bound is tight?

## Tightness

### Theorem

$\exists \mathcal{W} \subset Q^W$  such that  $R(w) = 1 + 1/\rho$  for every  $w \in \mathcal{W}$ .

## Tightness

### Theorem

$\exists \mathcal{W} \subset Q^W$  such that  $R(w) = 1 + 1/\rho$  for every  $w \in \mathcal{W}$ .

Let  $\mathcal{C}$  be all the shortest odd cycles (of length  $2\rho - 1$ ) of the graph  $\tilde{\mathcal{G}}$ .

# Tightness

## Theorem

$\exists \mathcal{W} \subset Q^{\mathcal{W}}$  such that  $R(w) = 1 + 1/\rho$  for every  $w \in \mathcal{W}$ .

Let  $\mathcal{C}$  be all the shortest odd cycles (of length  $2\rho - 1$ ) of the graph  $\tilde{\mathcal{G}}$ .

Basic weight function corresponding to  $C \in \mathcal{C}$

$$\forall C \in \mathcal{C}, \quad y^C : \tilde{E} \rightarrow \mathbb{R}_+ :$$

Set both dual edges incident to  $v_S$  to  $1/\rho$  and then alternately set the dual edges to 0 and  $1/\rho$  along the odd cycle on  $\tilde{\mathcal{G}}$ .

# Tightness

## Theorem

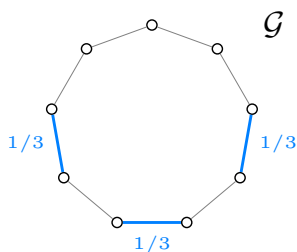
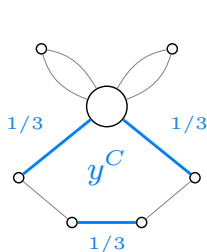
$\exists \mathcal{W} \subset Q^W$  such that  $R(w) = 1 + 1/\rho$  for every  $w \in \mathcal{W}$ .

Let  $\mathcal{C}$  be all the shortest odd cycles (of length  $2\rho - 1$ ) of the graph  $\tilde{\mathcal{G}}$ .

Basic weight function corresponding to  $C \in \mathcal{C}$

$$\forall C \in \mathcal{C}, \quad y^C : \tilde{E} \rightarrow \mathbb{R}_+ :$$

Set both dual edges incident to  $v_S$  to  $1/\rho$  and then alternately set the dual edges to 0 and  $1/\rho$  along the odd cycle on  $\tilde{\mathcal{G}}$ .





# Tightness

## Theorem

$\exists \mathcal{W} \subset Q^W$  such that  $R(w) = 1 + 1/\rho$  for every  $w \in \mathcal{W}$ .

$$\mathcal{Y} := \left\{ y \in \mathbb{R}^E \mid y = \sum_{C \in \mathcal{C}} \lambda^C y^C, \quad \sum_{C \in \mathcal{C}} \lambda^C = 1, \quad \lambda^C \geq 0 \quad \forall C \in \mathcal{C} \right\}$$

$$\mathcal{W} := \left\{ w \in \mathbb{R}^V \mid w_v = y(\delta(v)) \quad \forall v \in V, \forall y \in \mathcal{Y} \right\}$$

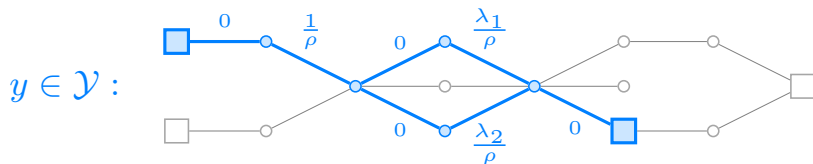
# Tightness

## Theorem

$\exists \mathcal{W} \subset \mathcal{Q}^W$  such that  $R(w) = 1 + 1/\rho$  for every  $w \in \mathcal{W}$ .

$$\mathcal{Y} := \left\{ y \in \mathbb{R}^E \mid y = \sum_{C \in \mathcal{C}} \lambda^C y^C, \quad \sum_{C \in \mathcal{C}} \lambda^C = 1, \quad \lambda^C \geq 0 \quad \forall C \in \mathcal{C} \right\}$$

$$\mathcal{W} := \left\{ w \in \mathbb{R}^V \mid w_v = y(\delta(v)) \quad \forall v \in V, \forall y \in \mathcal{Y} \right\}$$



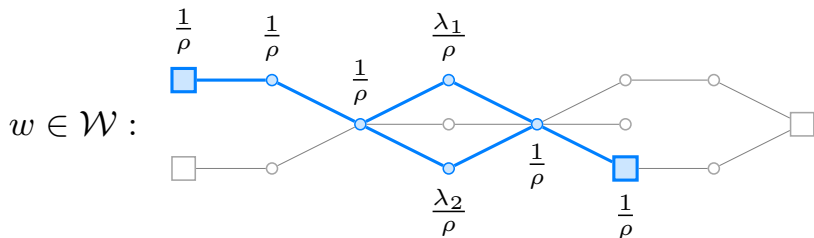
# Tightness

## Theorem

$\exists \mathcal{W} \subset \mathbb{Q}^W$  such that  $R(w) = 1 + 1/\rho$  for every  $w \in \mathcal{W}$ .

$$\mathcal{Y} := \left\{ y \in \mathbb{R}^E \mid y = \sum_{C \in \mathcal{C}} \lambda^C y^C, \quad \sum_{C \in \mathcal{C}} \lambda^C = 1, \quad \lambda^C \geq 0 \quad \forall C \in \mathcal{C} \right\}$$

$$\mathcal{W} := \left\{ w \in \mathbb{R}^V \mid w_v = y(\delta(v)) \quad \forall v \in V, \forall y \in \mathcal{Y} \right\}$$



## Integrality gap and fractional chromatic number

- Improved tight bounds on the integrality gap of  $P(\mathcal{G})$  and fractional chromatic number for 3-colorable graphs.
- Exact formulas for  $\tilde{\mathcal{G}}$ .
- Proof based on the layer decomposition.

### Theorem

$$\chi^f(\tilde{\mathcal{G}}) = 2 + \frac{1}{\rho - 1}, \quad IG(P(\tilde{\mathcal{G}})) = 1 + \frac{1}{2\rho - 1}$$

→ highlights importance of the odd girth parameter  $\rho$

## High-Level View

- Weight Space: for which weight functions  $w : V \rightarrow \mathbb{R}$  is the solution  $(1/2, \dots, 1/2)$  optimal?
- Analysis of the algorithm under the assumption that  $S$  is a stable set.
- **Generalization to an arbitrary set  $S$ .**
- Algorithmic applications to find a good set  $S$ .
- Showing optimality of the analysis.

## Arbitrary Set to Bipartite

Additional parameter

$$\alpha := y(E[S]) \in [0, 1]$$

# Arbitrary Set to Bipartite

## Additional parameter

$$\alpha := y(E[S]) \in [0, 1]$$

## Theorem

Let  $(\mathcal{G}, S)$  be the input, where  $S$  is arbitrary odd cycle transversal. Then

$$R(w) \leq \left(1 + \frac{1}{\rho}\right) (1 - \alpha) + 2\alpha$$

for every  $w \in Q^W$ . This bound is tight for any  $\alpha \in [0, 1]$  and  $\rho \in [2, \infty]$ .

- Interpolating "rounding curve" of the standard LP.
- Worst-case (standard 2-approximation):  $\alpha = 1$  for  $S = V_{1/2}$ .
- Best-case (bipartite graphs):  $\rho = \infty, \alpha = 0$ .
- In-between (e.g. 3-colorable graphs):  $\rho < \infty, \alpha = 0$ .

## Arbitrary Set to Bipartite

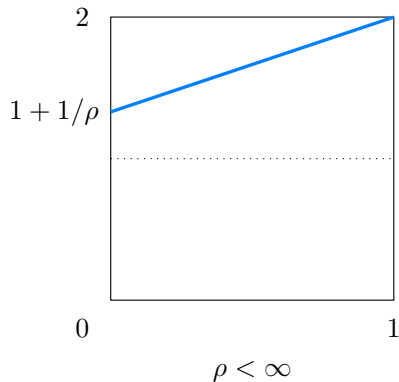
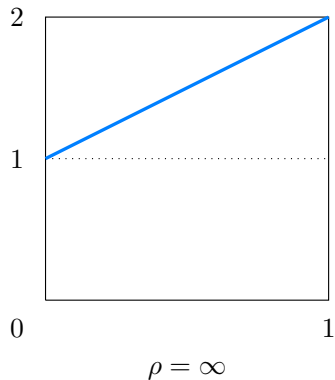


Figure: Plot of  $R(w)$  with respect to  $\alpha \in [0, 1]$



## Arbitrary Set to Bipartite

**Proof.** Decompose the weight of  $S$  with respect to the dual variables:

$$w(S) = 2\alpha + y(\delta(S))$$

## Arbitrary Set to Bipartite

**Proof.** Decompose the weight of  $S$  with respect to the dual variables:

$$w(S) = 2\alpha + y(\delta(S))$$

By Lemma 2,  $\exists \rho$  edge-separate covers of  $\mathcal{G}'$ , called  $U_1, \dots, U_\rho$

$$w(\text{OPT}(\mathcal{G} \setminus S)) \leq \min_{i \in [\rho]} w(U_i) = y(E') + \min_{i \in [\rho]} y(E_{U_i}) \leq y(E') + \frac{1 - \alpha}{\rho}$$

## Arbitrary Set to Bipartite

**Proof.** Decompose the weight of  $S$  with respect to the dual variables:

$$w(S) = 2\alpha + y(\delta(S))$$

By Lemma 2,  $\exists \rho$  edge-separate covers of  $\mathcal{G}'$ , called  $U_1, \dots, U_\rho$

$$w(\text{OPT}(\mathcal{G} \setminus S)) \leq \min_{i \in [\rho]} w(U_i) = y(E') + \min_{i \in [\rho]} y(E_{U_i}) \leq y(E') + \frac{1 - \alpha}{\rho}$$

$$\begin{aligned} R(w) &= \frac{w(S) + w(\text{OPT}(\mathcal{G} \setminus S))}{w(\text{OPT}(\mathcal{G}))} \leq w(S) + w(\text{OPT}(\mathcal{G} \setminus S)) \\ &\leq 2\alpha + y(\delta(S)) + y(E') + \frac{1 - \alpha}{\rho} \\ &= 1 + \alpha + \frac{1 - \alpha}{\rho} = \left(1 + \frac{1}{\rho}\right) (1 - \alpha) + 2\alpha. \end{aligned}$$

## High-Level View

- Weight Space: for which weight functions  $w : V \rightarrow \mathbb{R}$  is the solution  $(1/2, \dots, 1/2)$  optimal?
- Analysis of the algorithm under the assumption that  $S$  is a stable set.
- Generalization to an arbitrary set  $S$ .
- **Algorithmic applications to find a good set  $S$ .**
- Showing optimality of the analysis.

# Algorithmic Applications

## Question.

How to find a good such set  $S$  algorithmically?

- Run an approximation/FPT algorithm for the min odd cycle transversal problem.
- Find a cut of the graph and take nodes incident to uncut edges.
- Find a  $k$ -coloring and take  $k - 2$  color classes.

# Algorithmic Applications

## Question.

How to find a good such set  $S$  algorithmically?

- Run an approximation/FPT algorithm for the min odd cycle transversal problem.
- Find a cut of the graph and take nodes incident to uncut edges.
- Find a  $k$ -coloring and take  $k - 2$  color classes.

## Algorithm using coloring

- Find a  $k$ -coloring of  $\mathcal{G}$  with stable sets  $V_1 \cup \dots \cup V_k$
- Order  $w(V_1) \leq w(V_2) \leq \dots \leq w(V_k)$
- **Return**  $S := V_1 \cup \dots \cup V_{k-2}$

# Algorithmic Applications

## Question.

How to find a good such set  $S$  algorithmically?

- Run an approximation/FPT algorithm for the min odd cycle transversal problem.
- Find a cut of the graph and take nodes incident to uncut edges.
- Find a  $k$ -coloring and take  $k - 2$  color classes.

## Algorithm using coloring

- Find a  $k$ -coloring of  $\mathcal{G}$  with stable sets  $V_1 \cup \dots \cup V_k$
- Order  $w(V_1) \leq w(V_2) \leq \dots \leq w(V_k)$
- **Return**  $S := V_1 \cup \dots \cup V_{k-2}$

## Theorem

Can find an  $\alpha := y(E[S]) \leq 1 - 4/k$

## High-Level View

- Weight Space: for which weight functions  $w : V \rightarrow \mathbb{R}$  is the solution  $(1/2, \dots, 1/2)$  optimal?
- Analysis of the algorithm under the assumption that  $S$  is a stable set.
- Generalization to an arbitrary set  $S$ .
- Algorithmic applications to find a good set  $S$ .
- Showing optimality of the analysis.



# Optimality

## Integrality gap of $P(\mathcal{G})$

Worst-case integrality gap ( $IG$ ) for a graph  $\mathcal{G}$  with  $n$  nodes is attained on the complete graph  $K_n$ , with  $IG(K_n) = 2 - 2/n$ .

# Optimality

## Integrality gap of $P(\mathcal{G})$

Worst-case integrality gap ( $IG$ ) for a graph  $\mathcal{G}$  with  $n$  nodes is attained on the complete graph  $K_n$ , with  $IG(K_n) = 2 - 2/n$ .

## Hardness

→ No approximation algorithm working with  $P(\mathcal{G})$  can do better than  $2 - 2/n$  in the worst case.

# Optimality

## Integrality gap of $P(\mathcal{G})$

Worst-case integrality gap ( $IG$ ) for a graph  $\mathcal{G}$  with  $n$  nodes is attained on the complete graph  $K_n$ , with  $IG(K_n) = 2 - 2/n$ .

## Hardness

→ No approximation algorithm working with  $P(\mathcal{G})$  can do better than  $2 - 2/n$  in the worst case.

Our worst-case bounds:

$$\rho = 2 \quad \alpha = 1 - 4/n$$

## Matching approximation

$$R(w) \leq \left(1 + \frac{1}{\rho}\right) (1 - \alpha) + 2\alpha = \frac{3}{2} \frac{4}{n} + 2 - \frac{8}{n} = 2 - \frac{2}{n}$$

# Conclusion

## Conclusion

- Beyond the worst-case analysis
- Interpolating the rounding curve of the standard LP from 1 to 2
- Motivation coming from algorithms with predictions
- Can compute improvement in the ratio once  $S$  is found/given
- Matches integrality gap in the worst case

# Conclusion

## Conclusion

- Beyond the worst-case analysis
- Interpolating the rounding curve of the standard LP from 1 to 2
- Motivation coming from algorithms with predictions
- Can compute improvement in the ratio once  $S$  is found/given
- Matches integrality gap in the worst case

## Future work ideas

- Similar ideas for other combinatorial optimization problems
- Other algorithms to find good odd cycle transversals  $S$
- Improved approximation guarantees on subclasses of graphs
- Other prediction assumptions / other natural graph parameters

# Conclusion

## Conclusion

- Beyond the worst-case analysis
- Interpolating the rounding curve of the standard LP from 1 to 2
- Motivation coming from algorithms with predictions
- Can compute improvement in the ratio once  $S$  is found/given
- Matches integrality gap in the worst case

## Future work ideas

- Similar ideas for other combinatorial optimization problems
- Other algorithms to find good odd cycle transversals  $S$
- Improved approximation guarantees on subclasses of graphs
- Other prediction assumptions / other natural graph parameters

*Thanks!*