

Faculty of Economics and Business Administration

## Analytics for community first response

e Universiteit

Dutch Optimization Seminar 23-04-2024 **Caroline Jagtenberg** Dept. of Operations Analytics, Vrije Universiteit

## joint work with:



Faculty of Economics and Business Administration







# Shane HendersonPieter van den BergMaggie LiBridget DickerOcéane Fourmentraux



# Community First Responder Systems





## **Community First Responder Systems**





#### HartslagNu is het reanimatie oproepsysteem van Nederland

Elke dag krijgen 40 mensen in Nederland buiten het ziekenhuis een hartstilstand. De overlevingskans is het grootst als iemand binnen 6 minuten reanimeert en een AED aansluit. Als elke minuut telt, is snelle hulp van levensbelang. Ook jij kunt helpen!



FIRST AID / GOODSAM APP

FIRST AID COURSES COURSE INFORMATION

AEDS - DEFIBRILLATORS

FIRST AID LIBRARY

CPR MOBILE APP

GOODSAM APP

EMERGENCY SCENARIO

FIRST AID KITS & SUPPLIES

Promoting a community of lifesavers.



Download the poster for your workplace here. The GoodSAM app is now available in New Zealand, supported by St John, Wellington Free Ambulance and the National Cardiac Network. Imagine you were off-duty and someone near to you suffered a cardiac arrest. You were in a position to respond and help, but just needed to be alerted. Wouldn't you

want to know? Well, now you can.

Outcomes from cardiac arrest are best when the patient receives immediate CPR and defibrillation within the first five minutes. Emergency services can't always arrive within five minutes, but it is likely that someone who knows how to perform CPR and use an AED is nearby and just unaware that they are close to a patient in cardiac arrest.

#### The GoodSAM app

The GoodSAM app is a free app that alerts people that a patient suspected to be in cardiac arrest is nearby, allowing them to possibly save a life by providing CPR and using an AED (if available) prior to emergency services arriving.



Support St John in your community

RAINBOW TICK



## Previous work

Common are **retrospective** studies.

Uncommon are studies **proactively** investigating a system. What if:

- we recruit more volunteers?
- we optimizing system's alerting settings?



## Volunteer recruitment

How to quantify the impact of *n* volunteers on patient survival?

What is the arrival-time distribution of the first-arriving responder?



## **OHCA** survival function



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We'll model the locations of volunteers as a Poisson Point Process.

It may have a different  $\mu$  in different parts of the city, though.

And recruiting would influence the  $\mu$ .







## **Response-time distribution**

Consider a patient at location *I*. Let *R* be the (random) response time of the <u>closest</u> available volunteer.

P(R > t minutes) =P(0 volunteers within distance d<sub>t</sub>) =  $e^{-\mu(B(I,t))}$ 

= exp(-density<sub>I</sub>  $\pi$  d<sub>t</sub><sup>2</sup>)

density of *accepting* volunteers (so after thinning) around location l





## **Response time distribution**

Assuming volunteers walk at 6 km/h, we obtain an exact expression for the on-foot response time of <u>closest</u> volunteer:



## First result

## Required density of available volunteers (per km<sup>2</sup>) to meet targets

|      |     | Response-time target (minutes) |       |      |      |      |      |      |      |      |      |
|------|-----|--------------------------------|-------|------|------|------|------|------|------|------|------|
|      |     | 3                              | 4     | 5    | 6    | 7    | 8    | 9    | 10   | 11   | 12   |
| Cov. | 0.5 | 22.06                          | 5.52  | 2.45 | 1.38 | 0.88 | 0.61 | 0.45 | 0.34 | 0.27 | 0.22 |
|      | 0.7 | 38.32                          | 9.58  | 4.26 | 2.40 | 1.53 | 1.06 | 0.78 | 0.60 | 0.47 | 0.38 |
|      | 0.9 | 73.29                          | 18.32 | 8.14 | 4.58 | 2.93 | 2.04 | 1.50 | 1.15 | 0.90 | 0.73 |

But remember: we have more than just response-time goals. We have survival goals.

So we'll have to integrate the survival function against our obtained response-time PDF, to get probability of survival.



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## Extend to a heterogeneous area (e.g. city)

Partition the city into regions indexed by  $l \in \{1, ..., L\}$ .

• Let  $\lambda_l$  be the OHCA rate of region / (input).

· Let  $v_l$  be the probability of finding a volunteer in region *l*. (Unknown, but at least  $\sum_{l=1}^{L} v_l = 1$ .)

• Assume volunteer density is uniform within a region.



## Case study

# We consider an urban area of **Auckland**, **New Zealand** that is discretized into 287 so-called area units.





# Extending to a heterogeneous area (e.g. city)

# $\mathbb{P}(T > \tau) = \sum_{l} \lambda_{l} \mathbb{P}(T (l) > \tau) = \sum_{l \in \mathcal{L}} \lambda_{l} \exp\left(-\pi d_{\tau}^{2} n \alpha \nu_{l} / a_{l}\right)$

We have good estimates for  $\lambda_l$ , but not for  $\nu_l$ 

We can make some assumptions on  $v_l$ , for example:

- proportional to inhabitants of location *l* 

and evaluate this function above. Also transforming this to survival probabilities is no problem.



## Auckland, $v_l$ proportional to inhabitants





## How to choose $v_l$

Let's turn this into an optimization question:

What location measure v gives the best survival over the whole city?

- This provides a bounds on what can be achieved with *n* volunteers.
- Can also guide recruitment efforts.



# Optimizing where volunteers are in the city

$$\mathbb{P}(T > \tau) = \sum_{l} \lambda_{l} \mathbb{P}(T \ (l) > \tau) = \sum_{l \in \mathcal{L}} \lambda_{l} \exp\left(-\pi d_{\tau}^{2} n \alpha \nu_{l} / a_{l}\right)$$

**Proposition:** This function is convex in the probabilities  $v_l$ 

→ Can use convex optimization methods to minimize P(T > τ)
← can even do this exact maximize survival
← exact up to step size ε







## Ambulances + volunteers

 $s(t_{\rm CPR}, t_{\rm EMS}) = (1 + e^{0.04 + 0.3t_{\rm CPR} + 0.14(t_{\rm EMS} - t_{\rm CPR})})^{-1}$ 





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## Ambulances + volunteers

Input:

- Ambulance response-time distribution per area unit
- Total number of volunteers in the city (*n*)

Variables: how the *n* volunteers are distributed over the area units.

Objective: maximize survival.

 $\rightarrow$  Still convex!











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## Operational planning

## Until now we have discussed *strategic* planning.

# Let's talk about what we can optimize for a CFR system in *real time*.



# Phased alerting

<u>Problem definition</u> Which volunteers should be alerted (and when)?

Given a single patient, observe:

- Response time of the ambulance
- Locations of nearby volunteers

Goal: maximize survival

Avoid multiple volunteers arriving on site





## GoodSAM NZ's current dispatch policy



Alert in batches of 3
✓ with 30-second time lags
✓ until someone has accepted
Never retract alerts



## Phased alerting



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## Phased alerting



Response time = min (alert time<sub>v</sub> + acceptance delay<sub>v</sub> + travel time<sub>v</sub>) v in accepting volts

Strategy may be adaptive: depending on real-time information (accepts/rejects). Example: New Zealand's current policy is adaptive. But we can imagine even more situation-specific policies.

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## Volunteer reactions from empirical data



Time between the alert and the reaction (accept/reject). Based on 12,591 observations from GoodSam NZ.



## Monte Carlo Simulation

Compare a number of policies:

- Send all at time 0
- Send n at time 0
- Keep-n-active
- NZ current policy

Generate distances by drawing volunteer locations uniformly at random in a 1-km circle around the patient.

Sample from GoodSAM data: view delays and accept/rejects, distancedependent travel speed.

Fix EMS time at 12 minutes.

Simulate (often enough to reduce confidence intervals to almost zero).



## Monte Carlo Simulation

Shows trade-offs between three metrics (for 10 volunteers in the circle):

| Policy                         | Survivors per year | Redundant arrivals |
|--------------------------------|--------------------|--------------------|
| Send all at time 0.            | 191                | 0.918              |
| Keep 6 alerts active.          | 178                | 0.453              |
| Keep 7 alerts active.          | 184                | 0.587              |
| Keep 8 alerts active.          | 188                | 0.718              |
| Keep 9 alerts active.          | 190                | 0.834              |
| Keep 10 alerts active.         | 191                | 0.918              |
| Send 7 alerts at time $0$ .    | 179                | 0.498              |
| Send 8 alerts at time $0$ .    | 184                | 0.630              |
| Send 9 alerts at time $0$ .    | 188                | 0.771              |
| Send $10$ alerts at time $0$ . | 191                | 0.918              |
| NZ current strategy.           | 181                | 0.574              |



## Monte Carlo Simulation

Shows trade-offs between three metrics (for 100 volunteers in the circle):

| Policy                      | Survivors per year | Redundant arrivals |
|-----------------------------|--------------------|--------------------|
| Send all at time 0.         | 259                | 16.768             |
| Keep 7 alerts active.       | 222                | 0.595              |
| Keep 8 alerts active.       | 228                | 0.743              |
| Keep 9 alerts active.       | 233                | 0.897              |
| Keep 10 alerts active.      | 237                | 1.056              |
| Send 1 alerts at time $0$ . | 129                | 0.000              |
| Send 5 alerts at time $0$ . | 196                | 0.265              |
| Send 10 alerts at time 0.   | 231                | 0.918              |
| Send 15 alerts at time 0.   | 246                | 1.718              |
| NZ current strategy.        | 229                | 1.021              |



# Insight

You can choose your favorite policy from this list and always do that.

But, you may also do something more clever: decide your policy after having observed where the volunteers are.





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# Machine Learning

Pre-define a list of 40 dispatch strategies, e.g.:

- Send all
- Send n
- *Keep n active* For various *n*.

Objective: patient survival – *w* \* redundant arrivals

Generate lots of scenarios (e.g. [55, 129, 300, 499, 540, 588]), simulate all strategies. Store the best strategy.

Example: [55, 129, 300, 499, 540, 588], #37 [71, 120, 136, 377, 520, 578], #21 [32, 129, 300, 499, 540, 588], #4 [85, 190, 298, 360, 387, 440], #1 [55, 182, 209, 361, 405, 540], #37

Build a tree that predicts which of the 40 strategies is best, depending on scenario. (Multiclass classification)



## Small case study

Let's keep it simple: study the process when we have exactly 6 volunteers in the circle.

| Step   | Runtime      |
|--|--------------|
| Generate 500 random scenarios  | microseconds |
| For each scenario, evaluate each<br>alerting strategy by simulating it<br>10.000 times | 20 mins      |
| Store the strategy that performed best.  | -            |
| Build tree (Python sklearn)  | seconds      |



## Small case study







## **THREE WAYS FORWARD**

More realistic input scenarios

Is our finite list of policies covering enough options?

Better trees



## Compare against Dynamic Programming

How many volunteers to alert when, is a (stochastic) optimization problem.

Formulate it as a finite-horizon Markov Decision Problem.

5-second time epochs.

To allow a smaller state space, pretend the duration until a volunteer replies yes/no is memoryless.

Actions are how many volunteers to alert (assumed always choose the next-closest one).



## DP versus best-in-the-list



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## **THREE WAYS FORWARD**

More realistic input scenarios

Is our finite list of policies covering enough options?

Better trees



## More realistic input scenarios

We don't always see exactly 6 people in the 1-km ball.

How to get a realistic estimate?



## More realistic input scenarios

Auckland with a 0.1% signup rate.



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## Input now looks like this

Example:

[55, 129, 300, 499, 540] , #37 [55, 102, 225, 369, 499, 540, 588] , #4 [75, 190] , #1 [55, 187, 300, 477, 545] , #37



## Ambulance response times

Obviously, it's not always 12 minutes.

Use realistic estimates that vary across the region.





## Input now looks like this





## Triage time affects survival





## Input now looks like this





## Result (accuracy 0.83)



## **THREE WAYS FORWARD**

More realistic input scenarios

Is our finite list of policies covering enough options?

Better trees



## **Optimal Trees**

# Who is familiar with *Optimal trees* by Dimitris Bertsimas & Jack Dunn?

"Classification and Regression Trees (CART) build the decision tree using a recursive approach based on a greedy heuristic. We study the benefits of an optimal decision tree approach, which creates the entire decision tree at once using Mixed Integer Optimization".

## Benefits:

- A better performing tree (at least true in-sample, hopefully also out-of-sample)
- Allows complex error functions (more than just the % of misclassifications)
- Allows hyperplane splits 4x + 7y 8z < 17.5



## **Optimal trees**

- Cool? Yes
- Easy? No
- Not quick to solve, even using commercial MIP solvers
- Tips:
  - Generate a bunch of potentially decent trees using conventional ML packages
  - Use these as warm-starts for the MIP solver
  - Terminate while there is still an optimality gap
  - End with a local search around the best-found solution



## Four papers

#### Volunteer recruitment

 Modeling the Impact of Community First Responders

#### The alerting question

 Alerting in batches with time lags in between?

### Simulation

• Phased alerting of community first responders for cardiac arrest.

### Optimization

• DP & ML

Management Science 2024

Queueing Systems 2022

Submitted to Annals of Emergency Medicine

Work in progress



## Future work

- Modeling AED pickups
- Or....



## • CFR beyond the scope of cardiac arrest



## Thank you!

## **Questions** ?



Caroline Jagtenberg